

Recall: (M, d) is a metric space if

① $d(x, x) = 0$

② $d(x, y) > 0$ if $x \neq y$ (Positivity)

③ $d(x, y) = d(y, x)$ (Symmetry)

④ $d(x, y) + d(y, z) \geq d(x, z)$ (Triangle inequality)

Definition:

(1) A open ball (with center at x and radius r) is the set

$$N_r(x) := \{ y \in M : d(x, y) < r \}$$

A open ball $N_r(x)$ is also called a neighborhood (abbr. nbhd) of x

(2) A closed ball (with center at x and radius r) is the set

$$\overline{N_r(x)} := \{ y \in M : d(x, y) \leq r \}$$

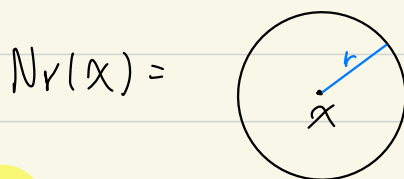
Example: ① \mathbb{R}^1 with Euclidean metric

$$N_r(x) = (x-r, x+r)$$

$$\overline{N_r(x)} = [x-r, x+r]$$

② \mathbb{R}^2 with

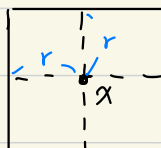
(1) Euclidean metric



(2) l^1 -metric



(3) l^∞ -metric



The definition of open/closed balls depend on the metric

Now we fix a metric space (M, d) , and introduce more concepts related to open/closed sets.

Definition: Let E be a subset of M

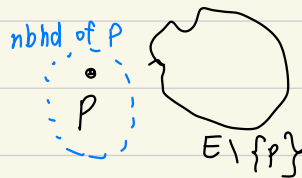
(1) A point $p \in M$ is called a **limit point** of E if every **nbhd** of p contains a point $q \neq p$ such that $q \in E$. ($\forall r > 0, \exists q \in N_r(p)$ s.t. $q \neq p, q \in E$)

Example: $E = \{\frac{1}{n} : n \in \mathbb{N}\} \subseteq \mathbb{R}^n$, 0 is a limit point
(Use Archimedean property to show it)

Therefore, a point p is not a limit point of E

if \exists nbhd N of p s.t. N does not contain any point ^{in E} other than p .

(2) A point $p \in M$ is called an isolated point of E if $p \in E$ and p is not a limit point of E .



Example: (1) Any point in E if E is finite is isolated

(2) Any point in $E = \{1/n : n \in \mathbb{N}\} \subseteq \mathbb{R}$ is isolated

(3) A point $p \in M$ is called an interior point of E

if \exists nbhd N of p s.t. $N \subseteq E$.

($\exists r > 0$ s.t. $N_r(p) \subseteq E$)

Example: $E = [1, 2] = \{x \in \mathbb{R} : 1 \leq x \leq 2\}$

(1) Any $1 < p < 2$ is an interior point

(2) $p = 1$ is not an interior point.

Thm: If p is a limit point of E , then every nbhd of p contains infinitely points of E .

Proof: Suppose not, \exists nbhd N of p contains ^{only} $\{a_1, \dots, a_n\} \subseteq E$.
Let $r = \min_{1 \leq j \leq n} d(p, a_j)$, then $N_{\frac{r}{2}}(p)$ contains no point in E ,
so p is not a limit point.

Remark (Optional): There is another definition using the notion of the "limit" of a sequence.

Def 2: A point $p \in M$ is a limit point of E if there is a sequence $\{a_1, \dots, a_n\}$ in $E \setminus \{p\}$ such that $\forall \epsilon > 0$, $\exists N_\epsilon \in \mathbb{N}$ s.t. $d(p, a_n) < \epsilon$ for all $n > N_\epsilon$. (Notation $\lim_{n \rightarrow \infty} p_n = p$)

Exercise: Check this new definition is equivalent to the previous one we used)

Definition (open sets and closed set)

(1) A set E is **open** if every point of E is an interior point

(2) A set E is **closed** if E contains all the limit points of E .

Propositions: Let E be one of the sets $(a, b]$, $[a, b)$, $[a, b]$, (a, b) .

(1) The set of all limit points of E is $[a, b]$

(2) The set of all interior points of E is (a, b)

Corollary:

(1) Interval (a, b) is open in \mathbb{R} (So (a, b) is an "open interval")

(2) Interval $[a, b]$ is closed in \mathbb{R} (So $[a, b]$ is a "closed interval")

(3) Intervals $(a, b]$ and $[a, b)$ are neither closed nor open.

Corollaries (1), (2) are special cases of the following theorem.

Theorem: (1) The open ball $N_r(x)$, where $r > 0$, is an open set

(2) The closed ball $\overline{N_r(x)}$ is a closed set

Proof: (1) $\forall p \in N_r(x), d(p, x) < r$

Claim: $N_\varepsilon(p) \subseteq N_r(x)$ if $\varepsilon = r - d(p, x)$

Proof of claim: $\forall q \in N_\varepsilon(p)$, we have

$$d(q, x) \leq d(q, p) + d(p, x) < \varepsilon + d(p, x) = r \Rightarrow q \in N_r(x)$$

(2) $\forall p \notin \overline{N_r(x)}, d(p, x) > r$

Claim: $N_\varepsilon(p)$ is disjoint from $\overline{N_r(x)}$ if $\varepsilon + r < d(p, x)$

Proof of claim: $\forall q \in N_\varepsilon(p), d(q, x) > d(p, x) - d(q, p) > d(p, x) - \varepsilon > r$

$\Rightarrow p$ is not a limit point of $\overline{N_r(x)}$

So all the limit points of $\overline{N_r(x)}$ is in $\overline{N_r(x)}$

Definition: Let E be a subset of M , (E does not need to be closed or open.) Then

- (1) \bar{E} , the closure of E , is $E \cup E'$, where E' is the set of all limit points of E .
- (2) $\text{int } E$, the interior of E is the set of all interior points of E .

Theorem: For any set $E \subseteq M$,

- (1) \bar{E} , the closure of E , is closed
- (2) $\text{int } E$; the interior of E , is open

etch of proof:

(1) If p is a limit point of \bar{E} $\equiv \forall r > 0, \exists q \in \bar{E}$
 $\text{ s.t. } q \neq p, \text{ but } d(q, p) < \frac{r}{2}$

Claim: p is also a limit point of E
 (so $p \in \bar{E}$)

Case 1: $q \in E \Rightarrow d(q, p) < \frac{r}{2} < r$
 so, $q \in N_r(p)$
 $\Rightarrow p$ is a limit point of E

Case 2: $q \notin E \Rightarrow q$ is a limit point $\exists s \in E$ s.t. $d(q, s) < \frac{r}{2}$

(2) Let $x \in \text{int } E$, $\exists r > 0$ s.t. $N_r(x) \subseteq E$

Claim: $N_r(x) \subseteq \text{int } E$

(Use the fact that $N_r(x)$ is open).

Theorem: For any set $E \subseteq M$

- (1) $\text{int } E \subseteq E \subseteq \bar{E}$
- (2) $\text{int } E = E$ if and only if E is open
- (3) $\bar{E} = E$ if and only if E is closed

Relationship between open and closed sets.

Theorem: If E is an open set, then $E^c = M \setminus E$ (the complement of E) is closed, and vice versa.

Pf: E is open

\Leftrightarrow any point $p \in E$ has a neighborhood $N_r(p) \subset E$

$\Leftrightarrow \forall p \in E, \exists$ nbhd $N_r(p)$ disjoint from E^c

$\Leftrightarrow \forall p \in E, p$ is not a limit point of E^c

\Leftrightarrow all the limit points of E^c are in E^c

$\Leftrightarrow E^c$ is closed

Union and Intersections of open sets / closed sets

Lemma (De Morgan's laws on sets)

Let $\{E_\alpha\}$ be a collection of sets, the following properties hold

$$(1) \left(\bigcup_{\alpha} E_{\alpha} \right)^c = \bigcap_{\alpha} E_{\alpha}^c$$

$$(2) \left(\bigcap_{\alpha} E_{\alpha} \right)^c = \bigcup_{\alpha} E_{\alpha}^c$$

Theorem

- (1) Arbitrary (finite or infinite) union of open sets is open
- (2) Finite intersection of open sets is open

By lemma and the previous theorem, these can be stated in terms of open sets

- (3) Arbitrary intersection of open sets is open
- (4) Finite union of closed sets is open

Proof: (1) Let $\{S_\alpha\}$ be open sets

$$\forall x \in \bigcup_{\alpha} S_{\alpha}, x \in S_{\alpha} \text{ for some } \alpha$$

$$\Rightarrow \exists \text{ nbhd } N \text{ of } x, N \subseteq S_{\alpha} \text{ (then } N \subseteq \bigcup_{\alpha} S_{\alpha} \text{)}$$

Therefore, $\bigcup_{\alpha} S_{\alpha}$ is open

(2) Let S_1, \dots, S_n be open sets

$$\forall x \in \bigcap_i S_i, x \in S_i \text{ for all } 1 \leq i \leq n$$

$$\Rightarrow \exists \text{ nbhd } N_{r_i}(x) \text{ of } x, N_{r_i}(x) \subseteq S_i \text{ for all } 1 \leq i \leq n$$

$$\text{Let } r = \min_{1 \leq i \leq n} r_i, N_r(x) \subseteq N_{r_i}(x) \subseteq S_i \text{ for all } i \Rightarrow N_r(x) \subseteq \bigcap_i S_i$$

Remark: A more general notion of the open sets in metric space is topology. A topology on a set X is a collection of subset of X , called open sets and satisfying the following axioms.

- (1) X, \emptyset are open sets.
- (2) Any arbitrary union of open sets is open.
- (3) Any finite intersection of open sets is open.

The above theorem shows that every metric space can be given a topology, in which the open set in this topology are open sets defined by the metric and neighborhoods

Definition: A set E is **dense** in metric space X if every point of X is a limit point of E or in E

$$\Leftrightarrow \overline{E} = X$$

\Leftrightarrow Every open set of X contains $p \in E$

Example: $\underbrace{\mathbb{Q}}_{\text{countable}}$ is **dense** in $\underbrace{\mathbb{R}}_{\text{uncountable}}$