

Fall 2022 (111-1)

控制系統
Control Systems

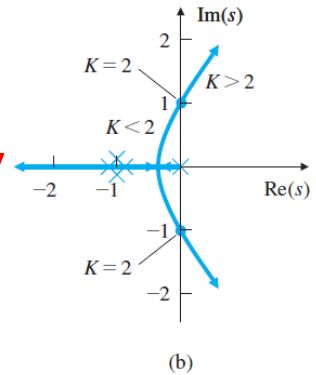
Unit 6E
The Nyquist Stability Criterion

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NTU-EE

Sep 2022 – Dec 2022

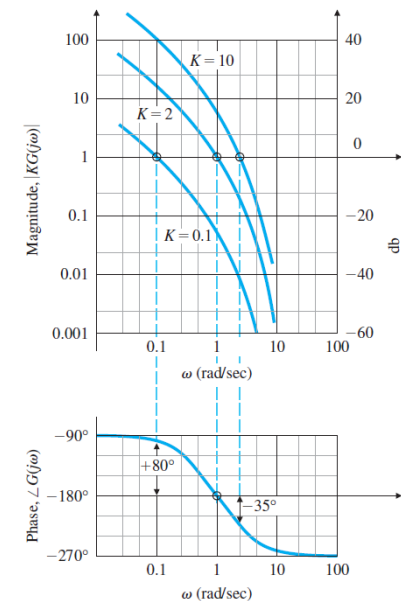
- For most systems,
an **increasing gain** eventually **causes instability**



- In very early days of **feedback control design**,
this **relationship** between **gain** and **stability** margins
was assumed to be **universal**.

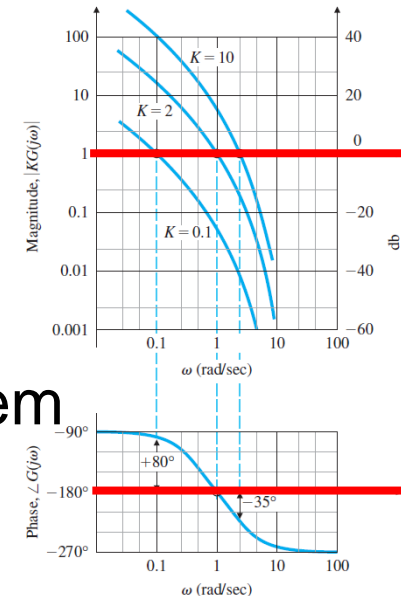
- However, designers found **occasionally** that
the **relationship reversed** itself;

- That is, the **amplifier** would become **unstable**
when the **gain** was **decreased**.



- The **confusion** motivated **Harry Nyquist** of Bell Tele Lab in **1932**
- The **Nyquist Stability Criterion**

- Nyquist Stability Criterion:
- Based on the **Argument Principle** in complex variable theory.
- Relate **OL frequency response** to the **number of CL poles** in the **RHP**
- **Determine stability** from **frequency response** of a complex system
- The **magnitude curve crosses 1** several times and/or the **phase curve crosses 180°** several times.
- Deal with
 - (a) **OL unstable systems,**
 - (b) **non-minimum-phase systems,**
 - (c) **systems with pure delays**



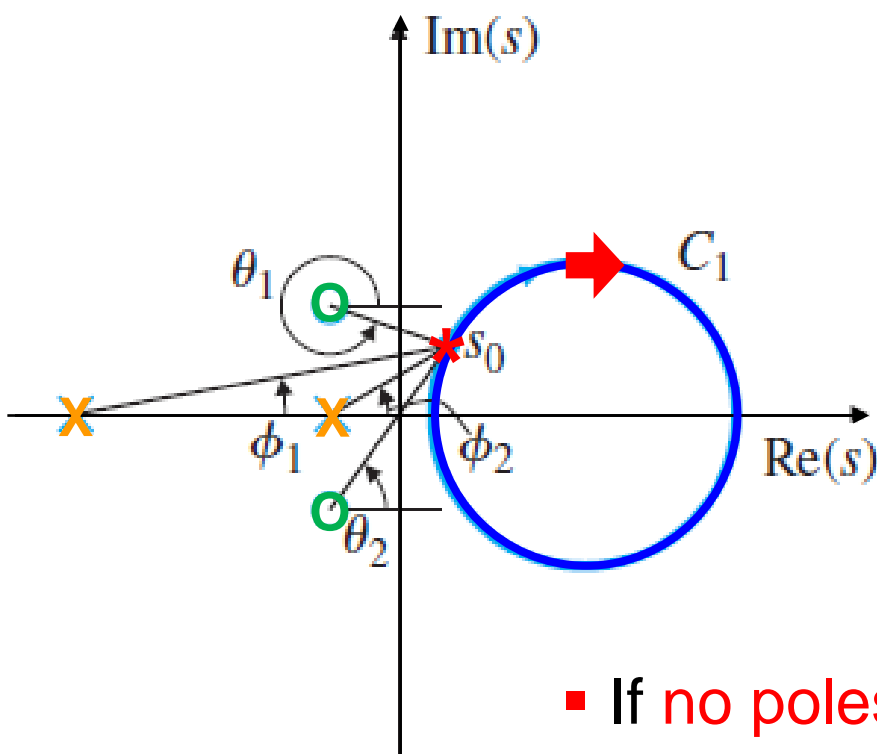
▪ $H_1(s)$

$$H_1(s_0) = \vec{v} = |\vec{v}| e^{j\alpha}$$

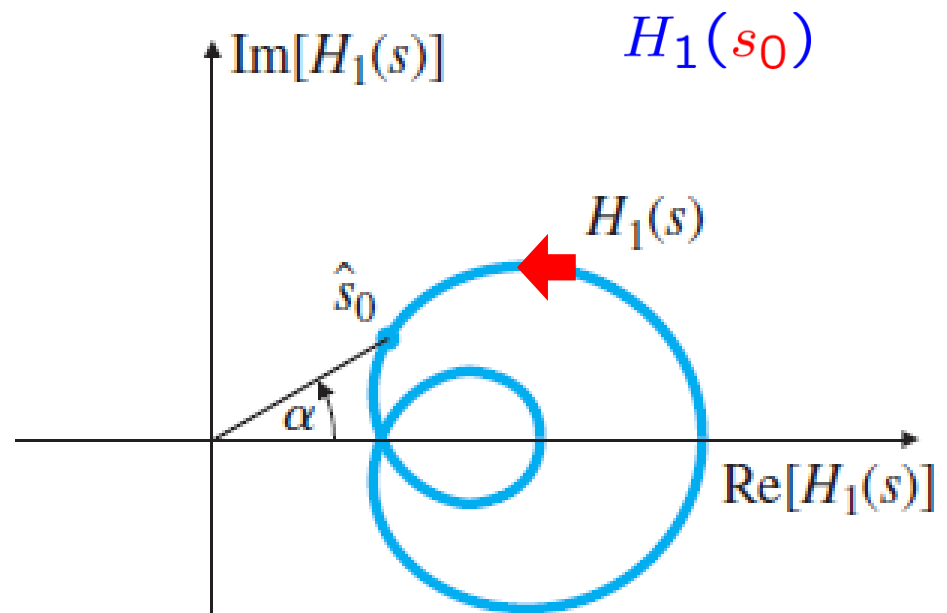
▪ s_0

argument: $\alpha = \theta_1 + \theta_2 - (\phi_1 + \phi_2)$

▪ Contour Evaluation



(a)



(b)

▪ If no poles/zeros within C_1

▪ Not net change of 360°

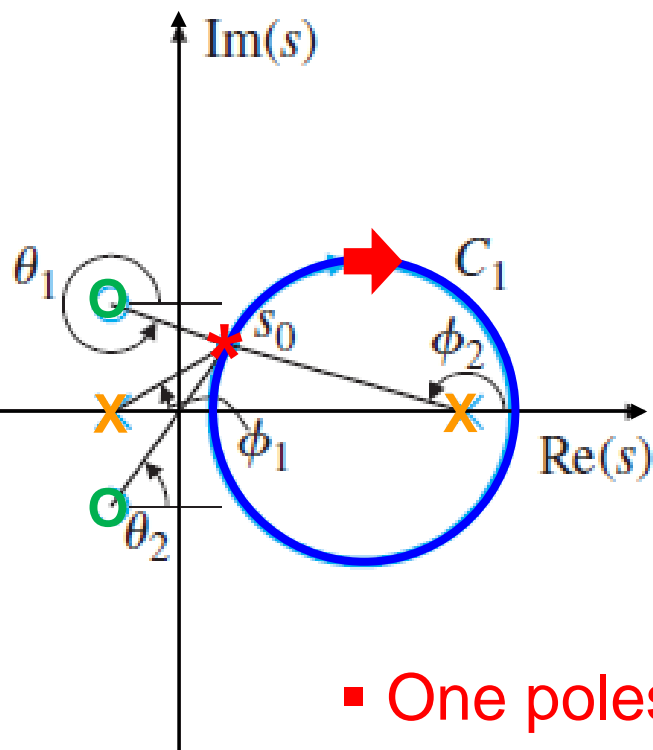
▪ $H_2(s)$

$$H_2(s_0) = \vec{v} = |\vec{v}| e^{j\alpha}$$

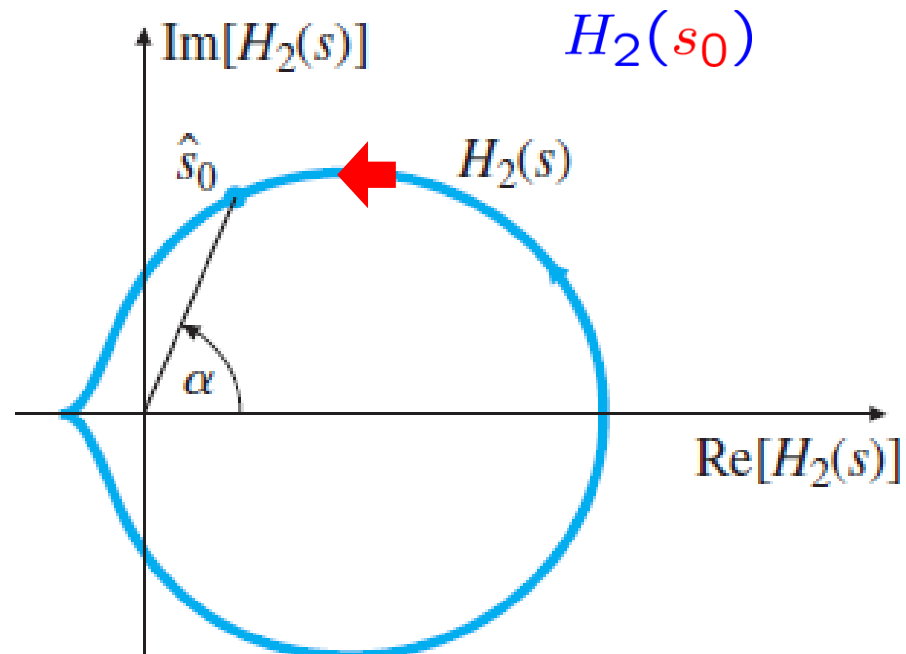
▪ s_0

argument: $\alpha = \theta_1 + \theta_2 - (\phi_1 + \phi_2)$

▪ Contour Evaluation



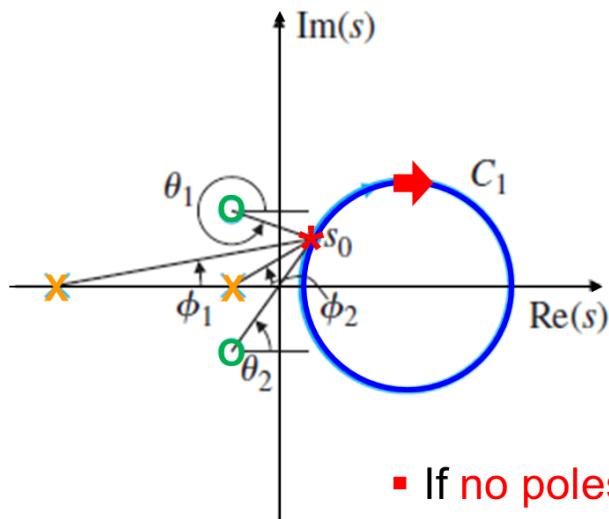
(c)



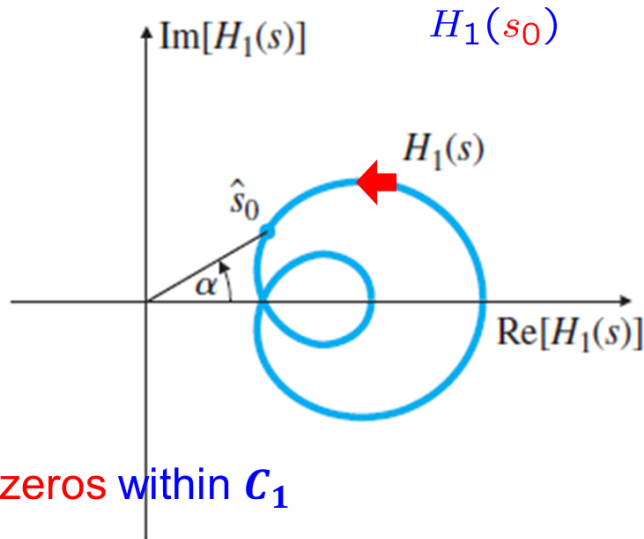
(d)

▪ One poles/zeros within C_1

▪ Net change of -360°

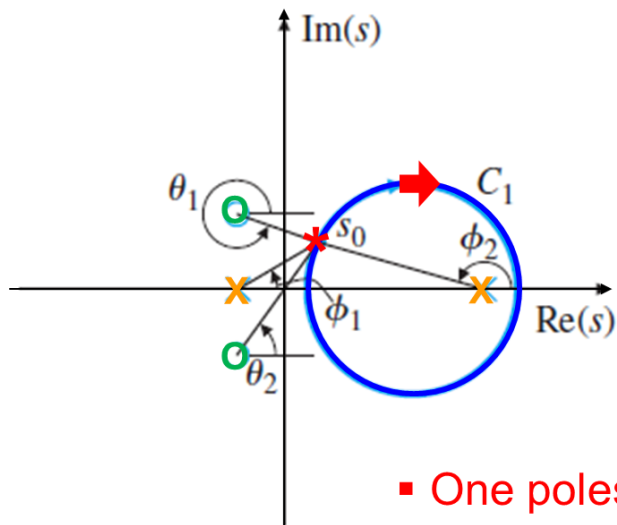


(a)

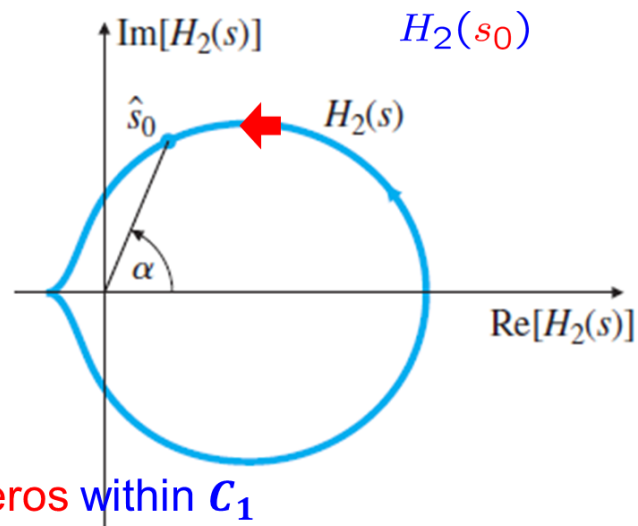


(b)

- If no poles/zeros within C_1
- Not net change of 360°



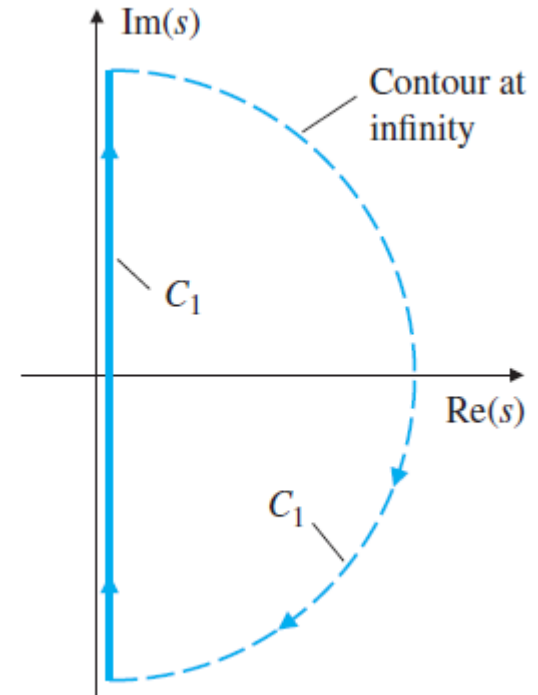
(c)

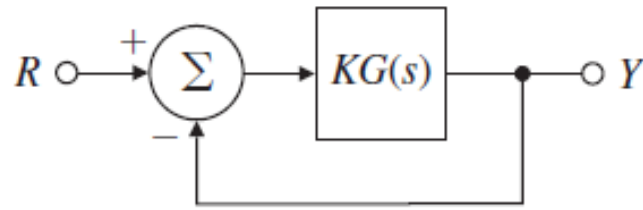


(d)

- One poles/zeros within C_1
- Net change of -360°

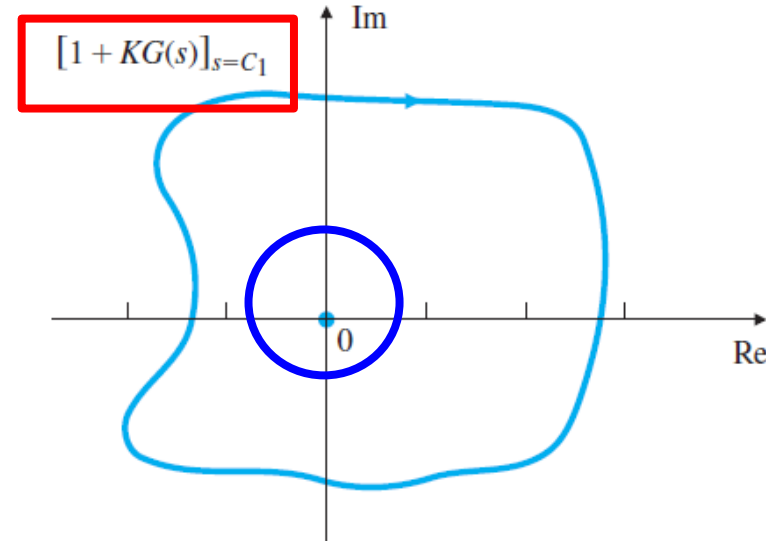
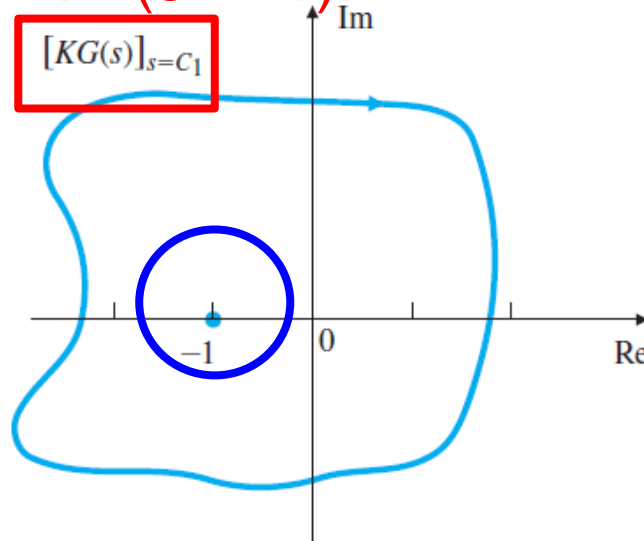
- The essence of the Argument Principle
- A contour map of a complex function will encircle the origin $Z - P$ times,
 - where Z is the number of zeros and P is the number of poles of the function inside the contour.
- For controller design, let the C_1 contour encircle entire RHP, where a pole would cause an unstable system.





$$T(s) = \frac{Y(s)}{R(s)} = \frac{K G(s)}{1 + K G(s)}$$

- CL roots: $1 + K G(s) = 0$
- The contour evaluation for $1 + K G(s) = 0$
- → Encircling the origin ($s=0$)!
- Equivalently, the contour evaluation for $K G(s) = 0$
- → Encircling -1 ($s = -1$)!



- Nyquist Plot
- Polar Plot

$$1 + K G(s) = 1 + K \frac{b(s)}{a(s)} = \frac{a(s) + K b(s)}{a(s)}$$

poles of $1 + K G(s)$ = poles of $G(s)$

- A clockwise contour C_1 enclosing a zero of $1 + KG(s)$ results in $KG(s)$ encircling the $s = -1$ point in a clockwise direction

- Likewise, C_1 encloses a pole of $1 + KG(s)$
(if there is an unstable OL pole)

there will be a counterclockwise encirclement of the $s = -1$ point.

- Furthermore, two poles or zeros are in the RHP,

$KG(s)$ will encircle the $s = -1$ point twice, and so on.

- Net number of CW encirclements $N = Z - P$

$Z =$ zeros in RHP, $P =$ poles in RHP

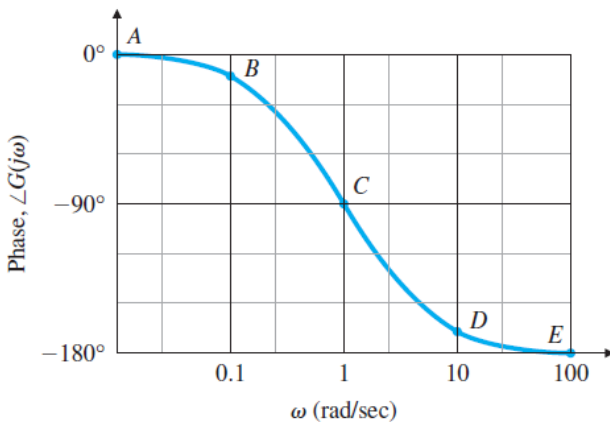
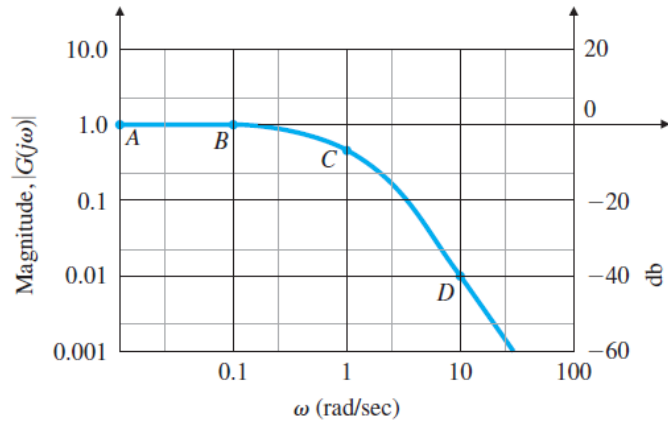
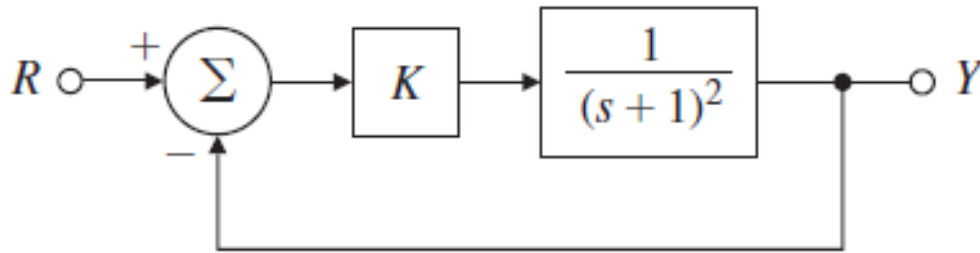
Procedure for Determining Nyquist Stability

1. Plot $KG(s)$ for $-j\infty \leq s \leq +j\infty$. Do this by first evaluating $KG(j\omega)$ for $\omega = 0$ to ω_h , where ω_h is so large that the magnitude of $KG(j\omega)$ is negligibly small for $\omega > \omega_h$, then reflecting the image about the real axis and adding it to the preceding image. The magnitude of $KG(j\omega)$ will be small at high frequencies for any physical system. The Nyquist plot will always be symmetric with respect to the real axis. The plot is normally created by the NYQUIST Matlab function.
2. Evaluate the number of clockwise encirclements of -1 , and call that number N . Do this by drawing a straight line in any direction from -1 to ∞ . Then count the net number of left-to-right crossings of the straight line by $KG(s)$. If encirclements are in the counterclockwise direction, N is negative.
3. Determine the number of unstable (RHP) poles of $G(s)$, and call that number P .
4. Calculate the number of unstable closed-loop roots Z :

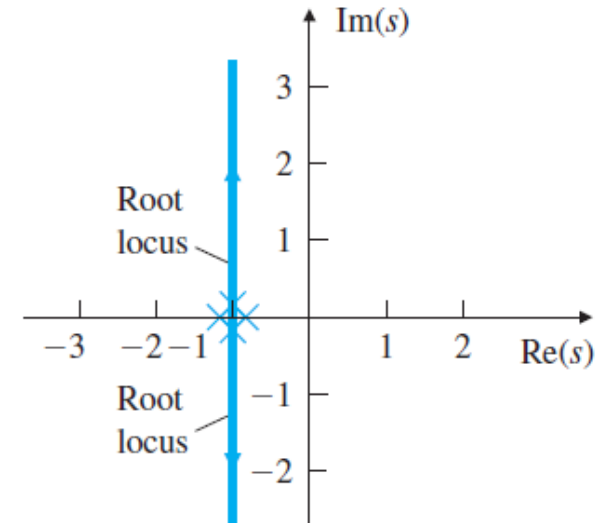
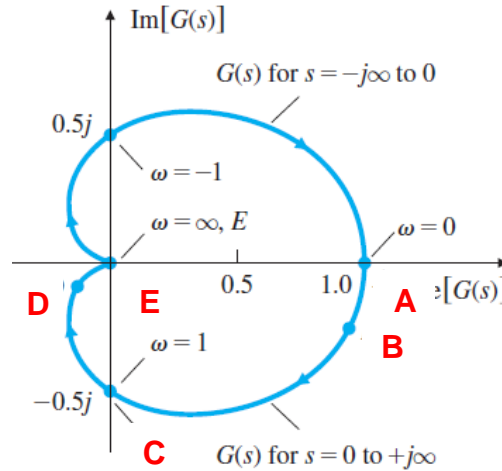
$$Z = N + P.$$

(6.28)

Example 6.8: Nyquist Plot for a Second-Order System



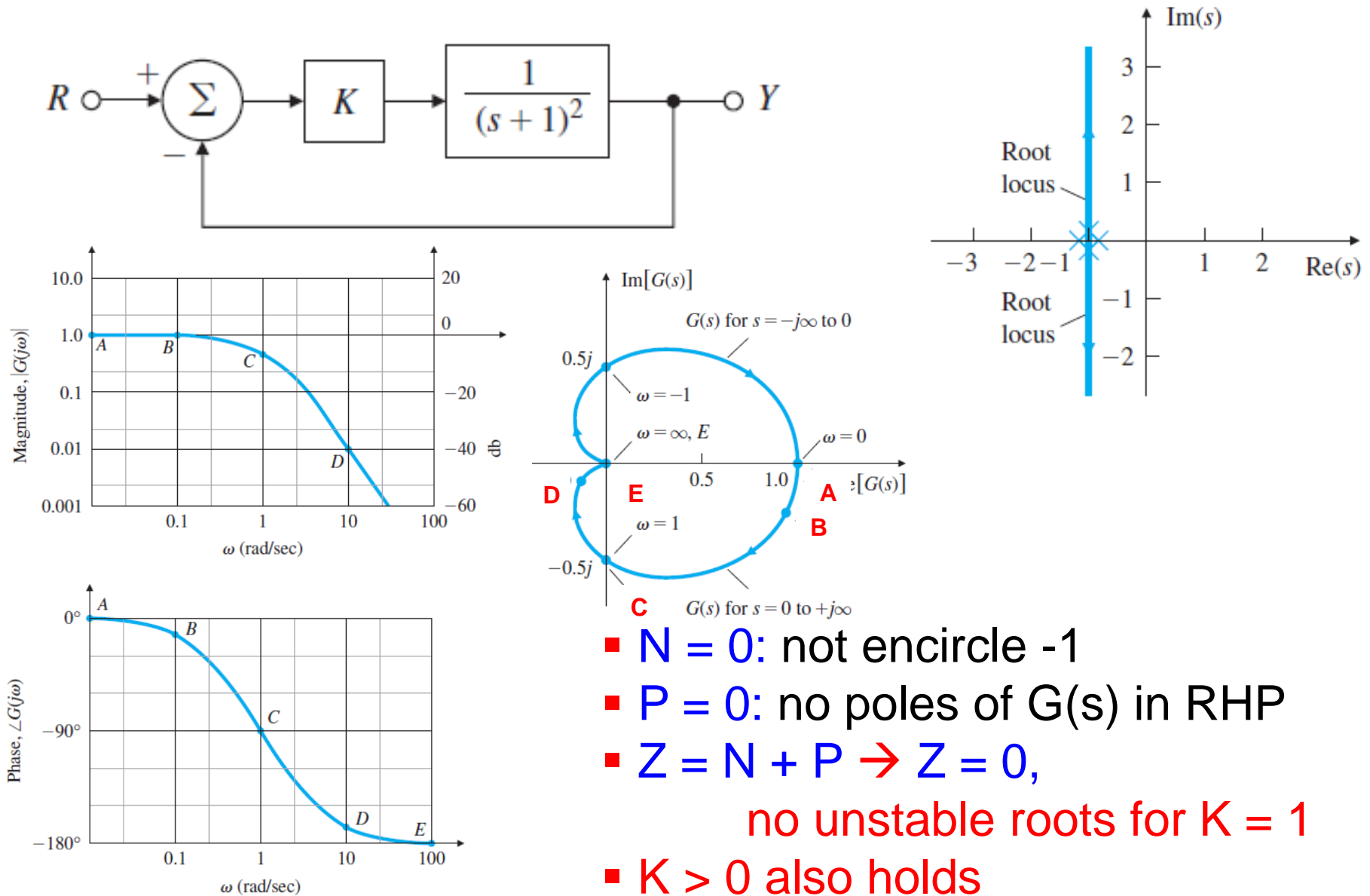
(b)



```
w = logspace(-2,3,100);
num = 1;
den = [1 2 1];
```

```
[re,im] = nyquist( num, den, w );
plot( re, im, re, -im );
```

Example 6.8: Nyquist Plot for a Second-Order System



- $N = 0$: not encircle -1
- $P = 0$: no poles of $G(s)$ in RHP
- $Z = N + P \rightarrow Z = 0$,
no unstable roots for $K = 1$
- $K > 0$ also holds

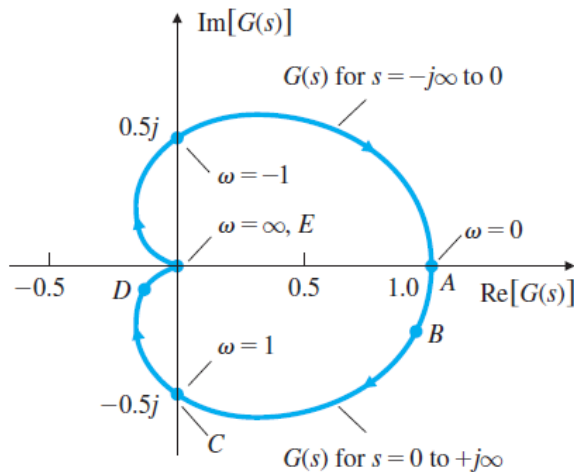
(b)

Examples

Example 6.8: Nyquist Plot for a Second-Order System

Another viewpoint:

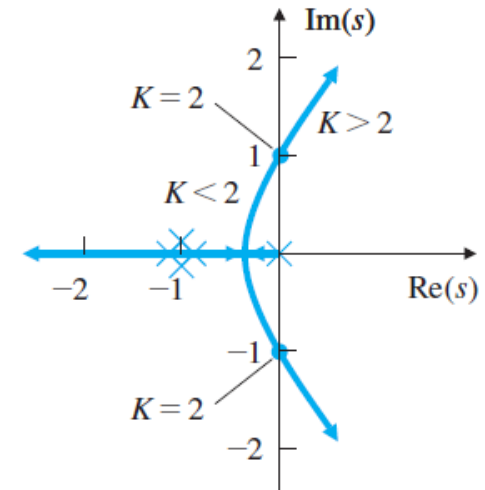
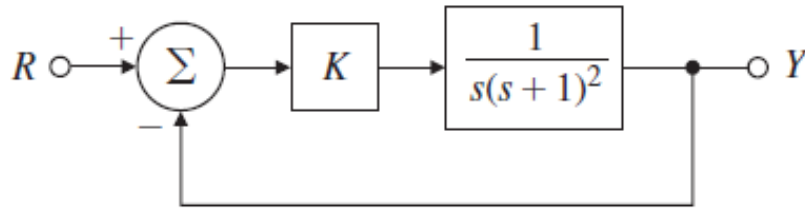
- $1 + KG(s)$ for the origin point
- $KG(s)$ for the -1 point
- $G(s)$ for the $-1/K$ point



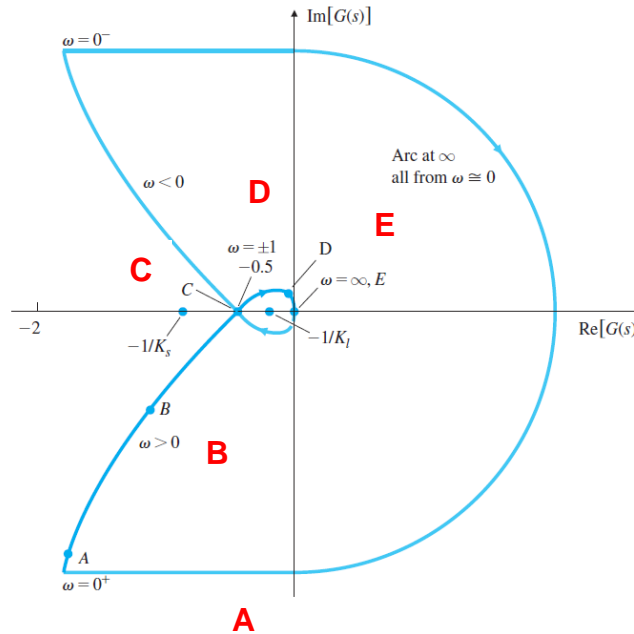
- No encirclement of $G(s)$ on $-1/K$ for any $K > 0$
- Hence, $K > 0$ is stable

Examples

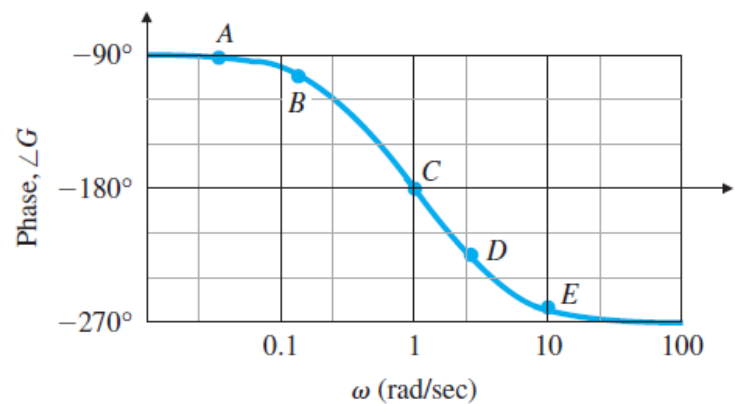
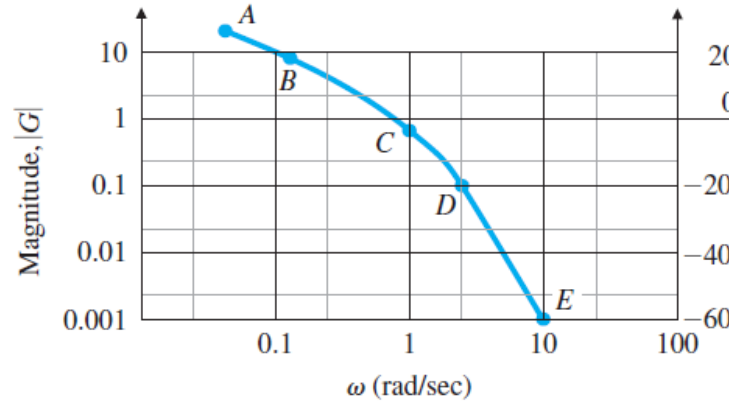
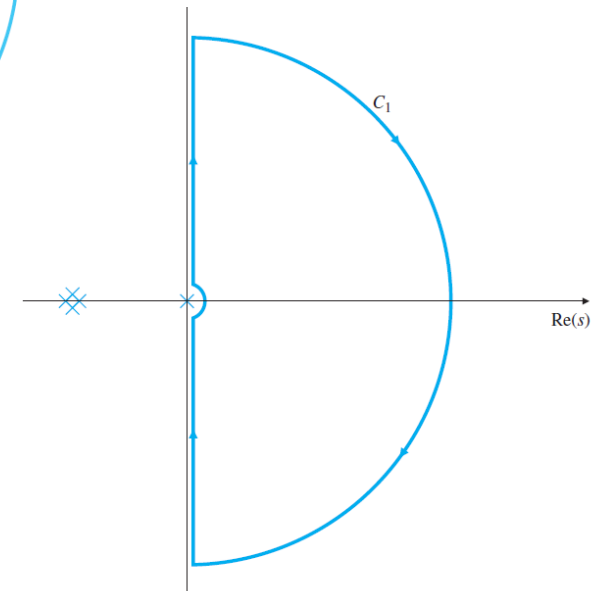
Example 6.9: Nyquist Plot for a Third-Order System



(b)

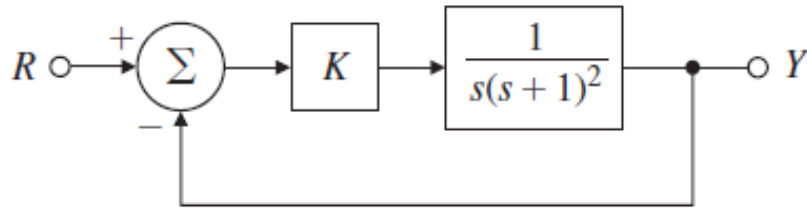


(b)



Examples

Example 6.9: Nyquist Plot for a Third-Order System



```
w=logspace(-2,3,100);
```

```
num = 1;
```

```
den = conv([1 0],[1 2 1]);
```

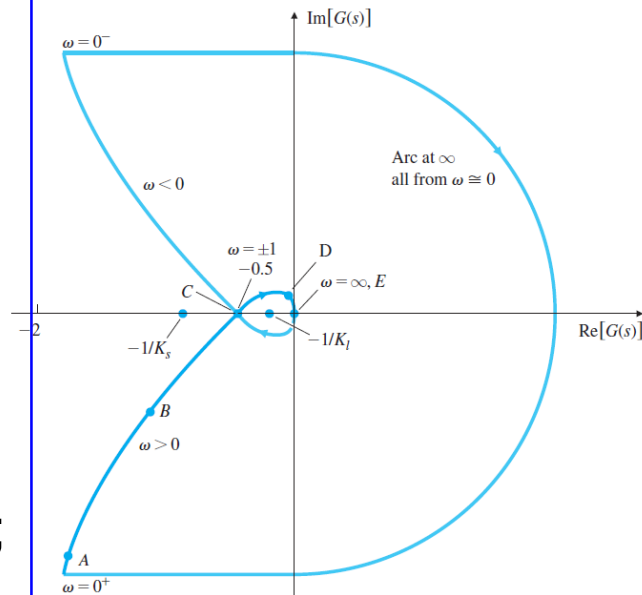
```
[re,im] = nyquist(num,den,w);
```

```
plot(re,im,re,-im,'LineWidth',2);
```

```
ii=[11 21 47 61];
```

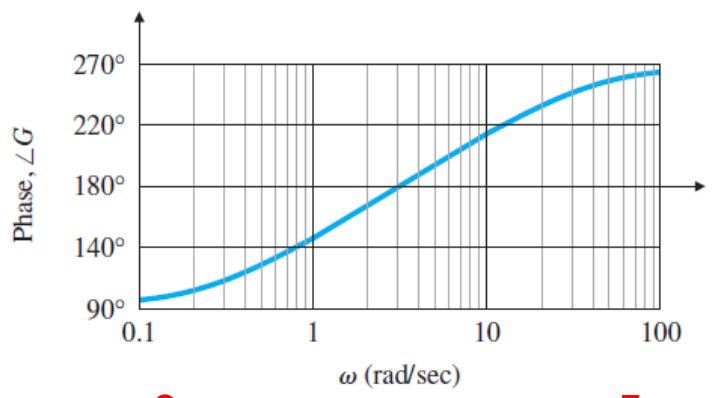
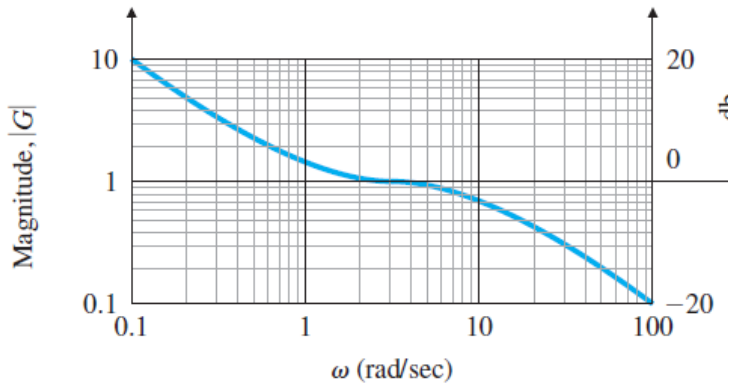
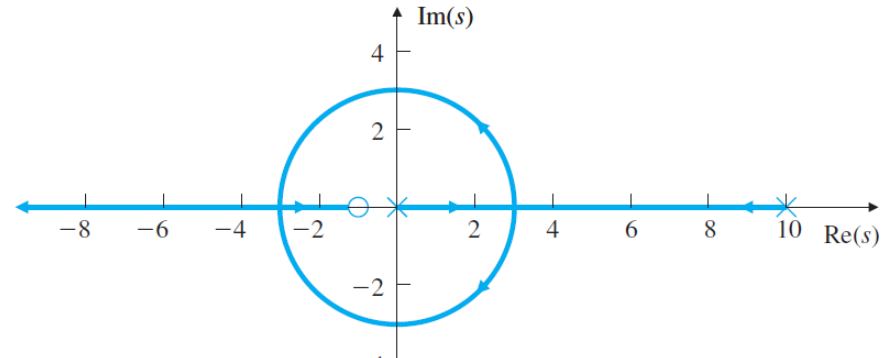
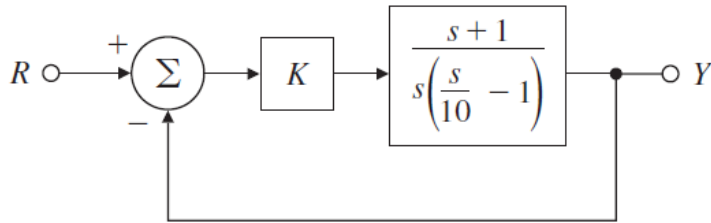
```
plot(re(ii),im(ii), '*');
```

```
plot(-.5,0, '*');
```

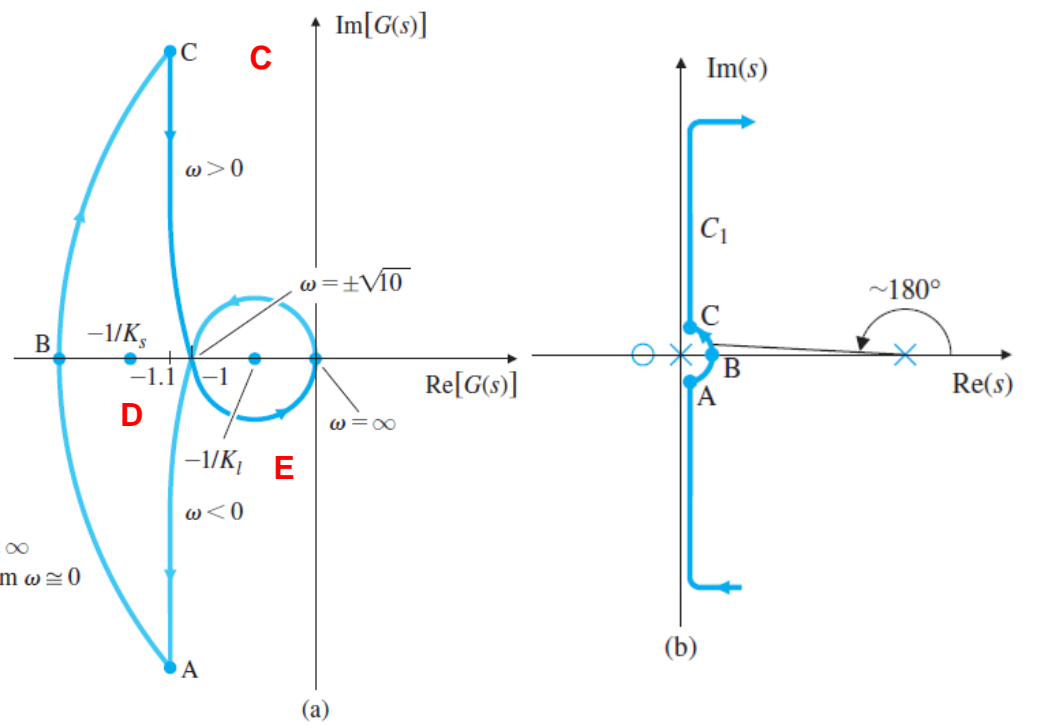


Examples

Example 6.10: Nyquist Plot for an Open-Loop Unstable System



C **D** **E**

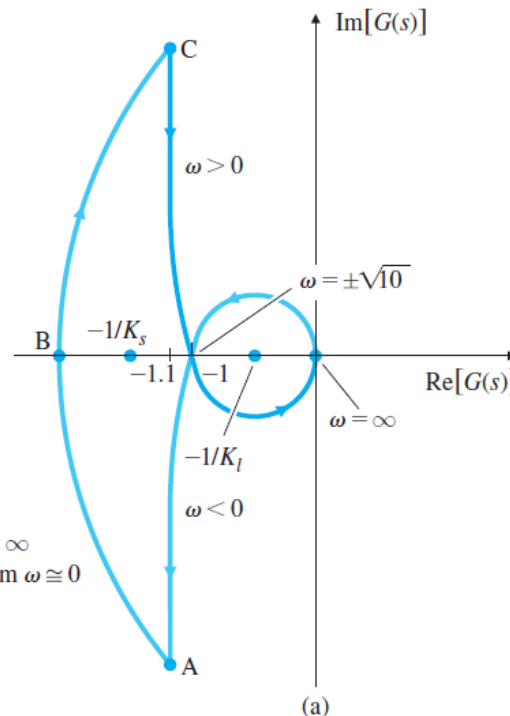
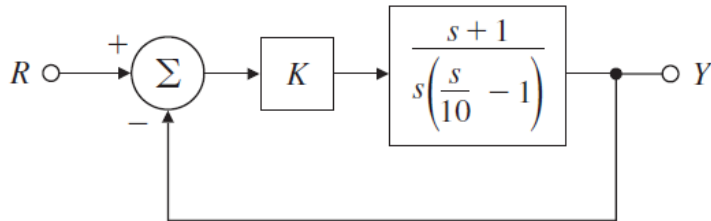


(a)

(b)

Examples

Example 6.10: Nyquist Plot for an Open-Loop Unstable System

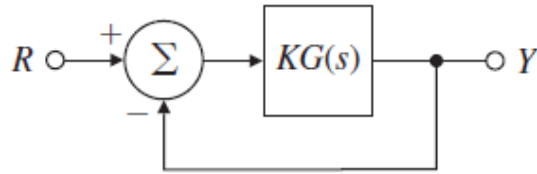


```
w = logspace(-3,3,100);
num = [1 1];
den = conv([1 0],[0.1 -1]);

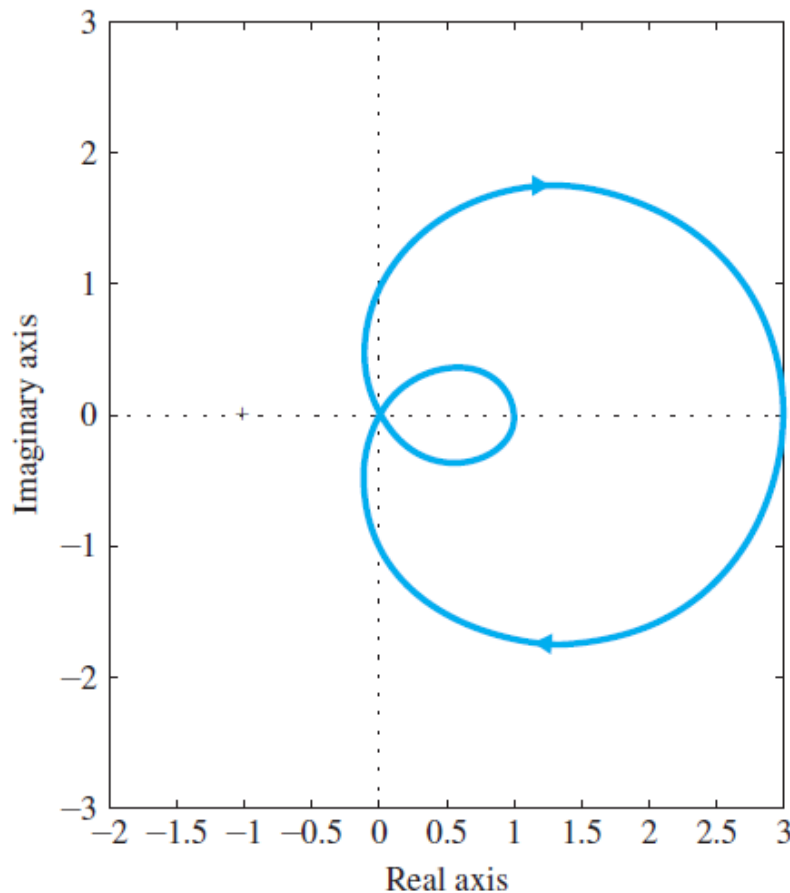
[re,im] = nyquist( num, den, w );
plot( re, im, re, -im );
```

Examples

- Example 6.11: Nyquist Plot Characteristics



$$G(s) = \frac{s^2 + 3}{(s + 1)^2}$$



- Never cross negative-real axis
- Stable for $K > 0$

```
w = logspace(-3,3,100);
```

```
num = [1 0 3];
```

```
den = conv([1 1],[1 1]);
```

```
[re,im] = nyquist( num, den, w );
```

```
plot( re, im, re, -im );
```

```
sysG = (s^2 + 3)/(s+1)^2;
```

```
nyquist( sysG );
```