

tangent bundle and smooth map (some terminologies, partially for Whitney's theorem)

§I. tangent vector

tangent vector $\begin{cases} \rightarrow \text{derivative of curves: geometric picture} \\ \rightarrow \text{can be used to taking directional derivative:} \\ \text{formal property, easier to work with} \end{cases}$

1° defn M : smooth manifold of dim n , $p_0 \in M$.

as the surface case $\delta: \mathcal{C}^\infty(M) \rightarrow \mathbb{R}$: linear $\mathbb{R}$ map is called a derivation at p_0 .
if $\delta(f \cdot g) = (\delta f) g(p_0) + f(p_0) \cdot (\delta g)$ Leibniz rule

2° Choose a coordinate chart $U \xrightarrow{\Sigma} \mathbb{R}^n$

$$p_0 \mapsto x_0$$

$$i) \delta(1) = \delta(1 \cdot 1) = 2\delta(1) \Rightarrow \delta(1) = 0$$

$$\Rightarrow \delta(\text{const}) = 0$$

ii) δ is a local operator (at p_0)

Choose $\chi \in \mathcal{C}^\infty(M) \Rightarrow \chi = \begin{cases} 1 & \text{near } p_0 \\ 0 & \text{away from } p_0 \end{cases}$

and $\tilde{\chi} \in \mathcal{C}^\infty(M)$ $\tilde{\chi} = 1$ near p_0
 $\chi = 1$ on $\text{supp } \tilde{\chi}$

$$\tilde{\chi}(f - \chi f) = 0$$

$$\Rightarrow 0 = \delta(\tilde{\chi}(f - \chi f)) = (\delta \tilde{\chi}) \cdot (f - \chi f)(p_0) + \tilde{\chi}(p_0) \cdot \delta(f - \chi f)$$

$$\Rightarrow \delta(f) = \delta(\chi f)$$

iii) By Taylor, $f \circ \Sigma^{-1}(x^1, \dots, x^n) = f(p_0) + \sum_{j=1}^n x^j \frac{\partial f}{\partial x^j} \Big|_{p_0} + \sum_{k,l} a_{k,l} x^k x^l$

$$\Rightarrow \delta f = 0 + \sum_{j=1}^n \frac{\partial f}{\partial x^j} \Big|_{x_0} \delta(x^j) + 0 \quad \leftarrow \text{quadratic}$$

Hence, a derivation at p_0 is determined on how it acts on x^j 's

iv) defn Let $\frac{\partial}{\partial x^i}$ the derivation at p_0 which sends x^j to δ_{ij}

Upshot If $\delta(x^1) = a^1, \dots, \delta(x^n) = a^n$

the derivation at p_0 , $\delta = \sum_{j=1}^n a^j \frac{\partial}{\partial x^j}$

It sends f to $\sum_{j=1}^n a^j \frac{\partial f}{\partial x^j} \Big|_{x_0}$

3° Cor/defn All the derivations at p_0 is an n -diml vector space.

Call this space the tangent space of M at p_0 , $T_{p_0}M$

A coordinate chart. (U, Σ) gives a basis $\{\frac{\partial}{\partial x^i}\}$ for $T_{p_0}M$

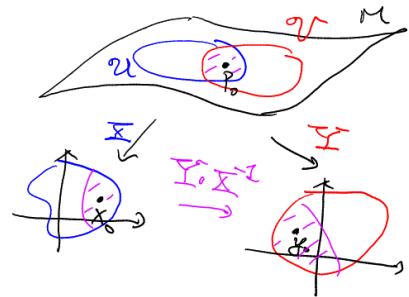
Change of basis formula between bases? $\leftarrow$ chain rule

Say, $(\mathcal{U}, \Sigma)$ is another coordinate chart

$$\delta = a^i \frac{\partial}{\partial x^i}, \quad \delta f = \sum_i a^i \frac{\partial f \circ \Sigma^{-1}}{\partial x^i} \Big|_{x_0}$$

$$\begin{aligned} \left(\begin{matrix} f \circ \Sigma^{-1} \\ = (f \circ \Sigma^{-1}) \circ (\Sigma \circ \Sigma^{-1}) \end{matrix} \right) &= \sum_{i,j} a^i \frac{\partial f}{\partial y^j} \Big|_{y_0} \frac{\partial y^j}{\partial x^i} \Big|_{x_0} \\ &= \sum_j \left(\sum_i a^i \frac{\partial y^j}{\partial x^i} \Big|_{x_0} \right) \frac{\partial f}{\partial y^j} \Big|_{y_0} \end{aligned}$$

Therefore $\underline{a^i \frac{\partial}{\partial x^i} \Big|_{x_0} = a^i \frac{\partial y^j}{\partial x^i} \Big|_{x_0} \frac{\partial}{\partial y^j} \Big|_{y_0}}$



4° defn Let $TM = \coprod_{p \in M} \{\text{all the derivations at } p\} = \coprod_{p \in M} T_p M$
Call it the tangent bundle of M ($\pi: TM \rightarrow M$)

prop • TM is itself a smooth manifold of $\dim 2n$.
• $\pi: TM \rightarrow M$ is smooth $\rightsquigarrow$ as before. in terms of coordinate charts
 $T_p M \rightarrow p$

rmk For each $p \in M$, $\pi^{-1}(p) = T_p M$ is a vector space.
This is a special example of vector bundle (defined later)

sketch of pf: $(\mathcal{U}, \Sigma): \text{coordinate chart of } M$

Denote $\Sigma(\mathcal{U}) \subset \mathbb{R}^n$ by $\Omega_{\mathcal{U}}$

Consider $\Omega_{\mathcal{U}} \times \mathbb{R}^n \rightarrow \pi^{-1}(\mathcal{U}) \subset TM$

$(x, (a^1, \dots, a^n)) \mapsto \text{the derivation } a^i \frac{\partial}{\partial x^i} \text{ at } \Sigma^{-1}(x)$

transition to $\Omega_{\mathcal{V}} \times \mathbb{R}^n$ is given by
 $(y, (b^1, \dots, b^n))$

$$\begin{cases} y = y(x) \\ b^i = \sum_j a^j \left(\frac{\partial y^i}{\partial x^j} \Big|_x \right) \end{cases} \quad *$$

defn a (smooth) tangent vector field of M . V is a smooth map from M to TM such that $\pi \circ V: M \rightarrow M$ is the identity

Denote the (∞ -dim vector) space of smooth vector fields on M by $\mathcal{P}(TM)$

rmk • Equivalently $\mathcal{P}(TM)$ is the space of derivations on M

$$V \in \mathcal{P}(TM) \Leftrightarrow \begin{cases} V: \mathcal{C}^\infty(M) \rightarrow \mathcal{C}^\infty(M) \text{ } \mathbb{R}\text{-linear} \\ \text{and } V(f \cdot g) = V(f) \cdot g + f \cdot V(g) \end{cases}$$

§II. cotangent and differential

1° Given $f \in C^\infty(M)$, we can associate an element $df|_p$ in $(T_p M)^*$ $\forall p \in M$
 by $(df|_p)(V|_p) = V(f)|_p$ (Of course, $\mathbb{R}$ -linear in V)

In terms of coordinate chart, $(df)(\frac{\partial}{\partial x^i}) = \frac{\partial f}{\partial x^i}$

If we denote the dual basis of $\{\frac{\partial}{\partial x^i}\}_{i=1}^n$ by $\{dx^i\}_{i=1}^n$

then $df = \frac{\partial f}{\partial x^i} dx^i$

at each $p \in U$, there is a linear map on $T_p M$
 and these linear maps are smooth in p

2° defn $(T_p M)^*$ is usually denoted by $T_p^* M$.

The space $T^* M = \coprod_{p \in M} T_p^* M$ is called the cotangent bundle of M

prop $\begin{cases} T^* M \text{ is a smooth manifold of dim } 2n, \\ \pi: T^* M \rightarrow M \text{ is a smooth map,} \\ \forall p \in M, \pi^{-1}(p) = T_p^* M \text{ has a structure of } n\text{-dim vector space} \end{cases}$

pf: similar as $TM: \Omega_U \times \mathbb{R}^n \longleftrightarrow \Omega_V \times \mathbb{R}^n$
 $\xi_j dx^j @ \tilde{X}(x) \longleftarrow (X, (\xi_1, \dots, \xi_n)) \quad (Y, (\eta_1, \dots, \eta_n))$

$$\left(\eta_j dy^j = \eta_j \frac{\partial y^j}{\partial x^i} dx^i = \xi_i dx^i \right) \rightsquigarrow \begin{cases} y = y(x) \\ \eta_j \frac{\partial y^j}{\partial x^i} = \xi_i \end{cases} \quad \#$$

defn A (smooth) 1-form α is a smooth map from M to $T^* M$
 such that $\pi \circ \alpha: M \rightarrow M$
 identity

The space of all 1-forms is denoted by $\Omega^1(M)$ or $\Gamma(T^* M)$

rule $f \in C^\infty(M) \mapsto df \in \Omega^1(M)$

3° Suppose that $F: M \rightarrow N$ is a smooth map between two smooth manifolds. What is DF , the differential of F ?

$$\begin{array}{ccc} M & \xrightarrow{F} & N \xrightarrow{f} \mathbb{R}^1 \\ \downarrow & & \\ p_0 & \mapsto & q_0 \end{array}$$

Suppose that δ is a derivation on N
 $F(p_0) = q_0$ and $f \in C^\infty(N)$

We can associate a differential on N at q_0
 by $\tilde{\delta}(f) = \delta(f \circ F):$

$$\begin{aligned} \tilde{\delta}(f \cdot g) &= \delta((f \circ F) \cdot (g \circ F)) \\ &= \underbrace{\delta(f \circ F)}_{\tilde{\delta}(f)} g(q_0) + f(q_0) \underbrace{\delta(g \circ F)}_{\tilde{\delta}(g)} \end{aligned}$$

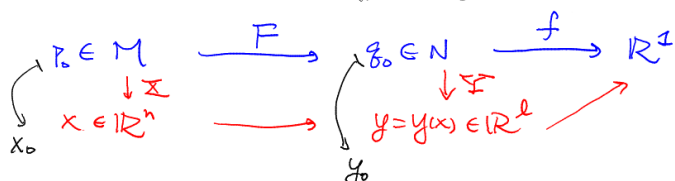
Upshot This gives a map, $DF|_p$, from $T_p M$ to $T_{F(p)} N$
notation One can check that the map is linear $\forall p \in M$

Choose coordinate chart. $(U, \mathbb{X})$ on M^n and $(V, \mathbb{Y})$ on N^l

$$\Rightarrow \delta = \sum_{i=1}^n a^i \frac{\partial}{\partial x^i} \Big|_{x_0} \quad F: y = y(x), \quad f: \text{smooth function in } y$$

$$\tilde{\delta}(f) = \delta(f \circ F) = \sum_{i=1}^n a^i \frac{\partial f(y(x))}{\partial x^i} \Big|_{x_0} = \sum_{j=1}^l \left(\sum_{i=1}^n a^i \frac{\partial y^j}{\partial x^i} \Big|_{x_0} \right) \frac{\partial f}{\partial y^j} \Big|_{y_0}$$

$$\text{i.e. } \tilde{\delta} = \sum_{j=1}^l \left(\sum_{i=1}^n a^i \frac{\partial y^j}{\partial x^i} \Big|_{x_0} \right) \frac{\partial}{\partial y^j} \Big|_{y_0}$$



4° example: Hopf fibration

$$\mathbb{S}^3 = \{(z, w) \in \mathbb{C}^2 \mid |z|^2 + |w|^2 = 1\}$$

$$F: \mathbb{S}^3 \rightarrow \mathbb{S}^2$$

$$(z, w) \mapsto (2\operatorname{Re}(\bar{z}w), 2\operatorname{Im}(\bar{z}w), |z|^2 - |w|^2)$$

$$\text{i) } |F(z, w)|^2 = 4|z|^2|w|^2 + (|z|^2 - |w|^2)^2 = (|z|^2 + |w|^2)^2 = 1$$

$$\text{ii) If } F(z, w) = (0, 0, -1), \Leftrightarrow \begin{cases} \bar{z}w = 0 \\ |z|^2 - |w|^2 = -1 \end{cases} \Leftrightarrow \begin{cases} |z| = 0 \\ |w| = 1 \end{cases}$$

$$\text{In fact, } \forall g \in \mathbb{S}^2, \quad F^{-1}(g) \cong \mathbb{S}^1$$

$$\text{iii) } (0, \frac{\pi}{2}) \times (-\pi, \pi) \times (-\pi, \pi) \rightarrow \mathbb{S}^3 \quad \text{a coordinate chart}$$

$$(\rho, \alpha, \beta) \mapsto (\sin \rho e^{i\alpha}, \cos \rho e^{i\beta})$$

$$U = \{z, w \in \mathbb{C} \setminus \{\text{negative real axis}\}\}$$

$$(\arctan \frac{|z|}{|w|}, \arg z, \arg w) \leftarrow (z, w)$$

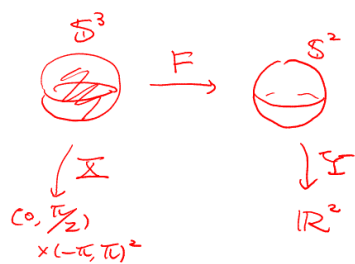
$$\text{For } \mathbb{S}^3, \text{ use stereographic projection } (u^1, u^2, u^3) \mapsto \left(\frac{u^1}{1+u^3}, \frac{u^2}{1+u^3} \right) \\ \text{inverse} \quad (0, 0, -1)$$

$$(\sin \rho e^{i\alpha}, \cos \rho e^{i\beta}) \xrightarrow{F} (\sin(2\rho) \cos(\beta-\alpha), \sin(2\rho) \sin(\beta-\alpha), -\cos(2\rho))$$

↓ inverse stereographic proj.

$$(\rho, \alpha, \beta) \xrightarrow{\mathbb{Y} \circ F \circ \mathbb{X}^{-1}} (\cos \rho \cos(\beta-\alpha), \cos \rho \sin(\beta-\alpha))$$

$$n=3, \quad l=2. \quad DF \text{ is local a } 2 \times 3 \text{ matrix, } \left[\frac{\partial y}{\partial x} \right] \\ \text{the Jacobian of the above map}$$



§ III. Immersion, embedding and submanifold

1° Defn. $F: M \rightarrow N$ is called an immersion (浸入)

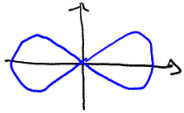
if $DF|_p: T_p M \rightarrow T_{F(p)} N$ is injective $\forall p \in M$

• An immersion is called an embedding (嵌入) if M is homeomorphic to $F(M) \subset N$ w.r.t. the subspace topology

• In the embedding case, $F(M) \subset N$ is called an (embedded) submanifold of N

- We also say $S \subset N$ is a submanifold if S is itself a manifold wrt the subspace topology, and $S \hookrightarrow N$ is an embedding

2° By IFT, if $F: M \rightarrow N$ is an immersion then, $\forall p \in M$, $\exists U = \text{nbd of } p$ such that $F: U \rightarrow N$ is an embedding

e.g. $S^1 \rightarrow \mathbb{R}^2$
 $e^{it} \mapsto (\sin t, \sin t \cos t)$  is an immersion [check]
 But not an embedding
 ($\because$ not injective)

[Q] injective immersion $\not\Rightarrow$ embedding

Nope! e.g. irrational slope in a torus.

$$F: \mathbb{R}^1 \rightarrow T^2 = S^1 \times S^1$$

$$t \mapsto (e^{it}, e^{\sqrt{3}it})$$

immersion: direct calculation

$$\text{injective: } e^{it_1} = e^{it_2}, e^{\sqrt{3}it_1} = e^{\sqrt{3}it_2}$$

$$\Rightarrow t_1 - t_2 \in 2\pi\mathbb{Z}, t_1 - t_2 \in \frac{2\pi}{\sqrt{3}}\mathbb{Z} \Rightarrow t_1 = t_2$$

embedding? Suppose yes.  : compact in T^2

$$\Rightarrow \text{red square} \cap F(\mathbb{R}^1) \text{ is compact in } F(\mathbb{R}^1)$$

But $F^{-1}(\text{red square})$ consists of countably many closed intervals
 it cannot be compact in $\mathbb{R}^1 \rightarrow \leftarrow$

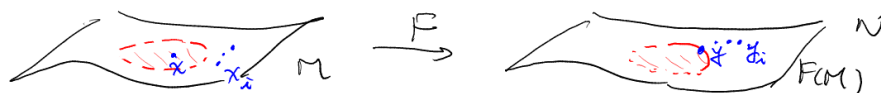
$$\left(\text{red square}_{1/2} \quad e^{\sqrt{3}it} = 1 \Rightarrow t \in \frac{2\pi}{\sqrt{3}}\mathbb{Z}, \text{ also } t \in [0, \pi] + 2\pi\mathbb{Z} \right)$$

3° For $F: M \rightarrow N$, if M is homeomorphic to $F(M) \subset N$ by F ,
 then F is proper, i.e. $F^{-1}(\text{compact set})$ is still compact.

prop if $F: M \rightarrow N$, injective immersion and proper.
 then, F is an embedding

Pf: homeomorphism: remain to show $F^{-1}: F(M) \rightarrow M$ is continuous.
 $\Leftrightarrow$ if $U \subset M$ is open, $F(U)$ is open in $F(M)$.

Suppose NOT, $\exists U \subset M$ open, but $F(U)$ is NOT open in $F(M)$



Hence, $\exists y \in F(U) \rightarrow \forall \text{ Ball at } y$, N is locally Euclidean

$$\exists y_i \in F(M), \text{ but } y_i \notin F(U)$$

$\Rightarrow$ We can choose $\{y_i\} \in F(M \setminus U)$, $y_i \rightarrow y$ as $i \rightarrow \infty$.

(This happens on a coordinate chart at $y \cong$ open set in $\mathbb{R}^l$)

$\Rightarrow \{y_i\} \cup \{y\}$ is compact and in $F(M)$

By injectivity, $\exists! \{x_i\}$ and $x \in M \rightarrow F(x_i) = y_i, F(x) = y$

By construction, $x_i \notin U, x \in U$

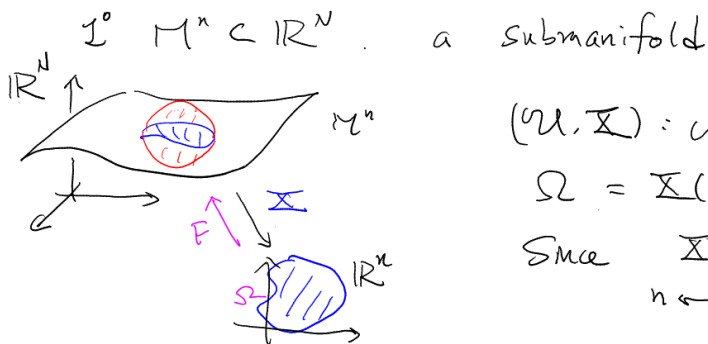
By proper, $\bigcup_{i=1}^{\infty} \{x_i\} \cup \{x\}$ is compact $\Rightarrow \exists$ subsequence $\{x_{i_j}\} \rightarrow \tilde{x}$
 $\Rightarrow F(x_{i_j}) = y_{i_j} \rightarrow F(\tilde{x})$

Since $y_{i_j} \rightarrow y = F(x)$ and F is injective, $\tilde{x} = x$

Therefore, $x_{i_j} \in U$ for $j \gg 1 \rightarrow \Leftarrow$

Cor $F: M \rightarrow N$ injective immersion and M is compact
 $\Rightarrow F$ is an embedding

§IV submanifold in $\mathbb{R}^N$



(U, X) : coordinate chart Ω

$\Omega = X(U)$: open set in $\mathbb{R}^n$. $F = X^{-1}: \Omega \rightarrow U$

Since $X \circ F(x^1, \dots, x^n) = (x^1, \dots, x^n)$, DF is injective
 $n \leftarrow N \leftarrow n$

(as the regular surface case)

$\Rightarrow$ One can check: regular surface = 2-dim submanifold in $\mathbb{R}^3$.

2° $TM = \left\{ (p, v) \in \mathbb{R}^N \times \mathbb{R}^N \mid p \in M, v \in \text{image of } DF \text{ for } \right.$

$\left. \begin{array}{l} \text{a coordinate chart at } p \\ \text{as the regular surface case} \\ \text{the image does NOT depend on} \\ \text{the choice of coordinate chart} \end{array} \right\}$

In this "special" case, $TM = T^*M$

Since for any $v, w \in T_p M$

$T_p M \times T_p M \rightarrow \mathbb{R}$

$(v, w) \mapsto \langle v, w \rangle$ is non-degenerate

$\Rightarrow$ It provides an isomorphism $T_p M \rightarrow T_p^* M$

(There is NO canonical isomorphism between TM and T^*M .
 But any choice of metric, I , would provide one)