Spring 2021

控制系統
Control Systems

Unit 5B
Guidelines for Determining a Root Locus

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Formal Definition of Root Locus

- Definition I:
- The root locus is
  the set of values of s

$$L(s) = \frac{b(s)}{a(s)}$$

- for which $1 + KL(s) = 0$ is satisfied
  as the real parameter K varies from 0 to + ∞
- Typically, $1 + KL(s) = 0$ is the characteristic equation of the system, and in this case the roots on the locus are the closed-loop poles of that system.

$$\Rightarrow \; L(s) = -\frac{1}{K}$$

- Definition II:
- The root locus of $L(s)$ is the set of points in the s-plane
  where the phase of $L(s)$ is 180°.
- To test whether a point in the s-plane is on the locus,
  we define the angle to the test point from a zero as ψ and
  the angle to test point from a pole as ϕ as follows:

$$\sum \psi_i - \sum \phi_i = 180^o + 360^o (\, l - 1 \,)$$

Formal Definition of Root Locus

- K is real and positive,

  the phase of $L(s)$ is 180°,

  the positive locus or 180° locus

  $$\Rightarrow \quad L(s) = -\frac{1}{K}$$

- K is real and negative,

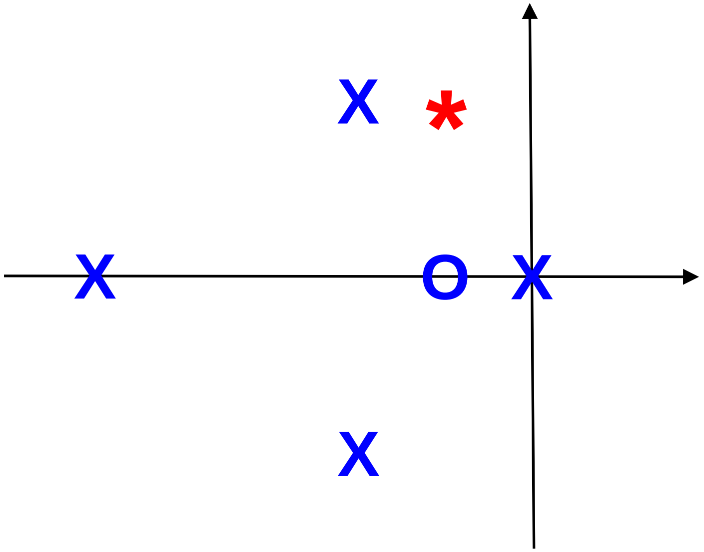
  the phase of $L(s)$ is 0°,

  the negative locus or 0° locus

- Illustrative Example: $$L(s) = \frac{s+1}{s\,(s+5)\,[\,(s+2)^2+4\,]\,}$$

$$s_0 = -1+2j$$

$$\angle L(s_0) = 180^o + 360^o\,(\,l - 1\,)$$



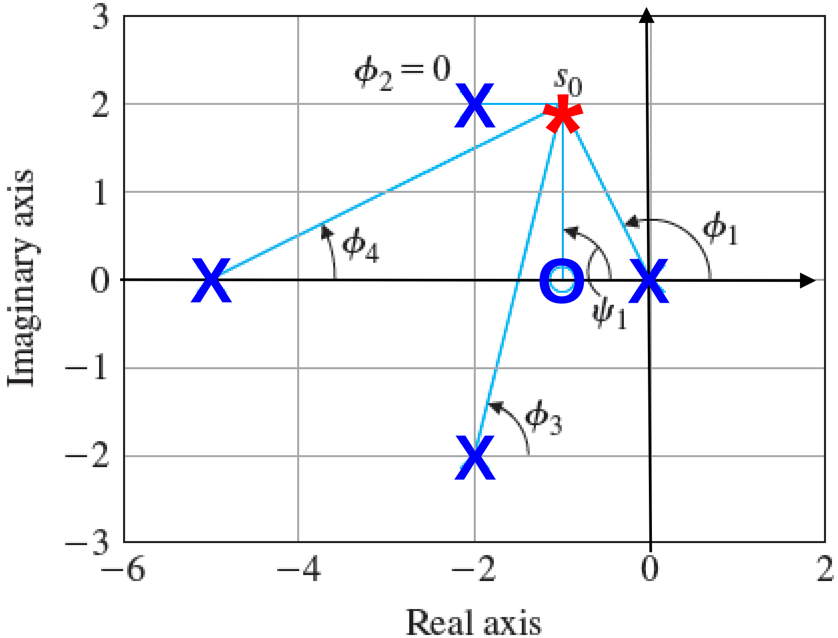
Formal Definition of Root Locus

- Illustrative Example: $L(s) = \dfrac{s+1}{s\,(s+5)\,[\,(s+2)^2+4\,]}$

$$s_0 = -1+2j$$

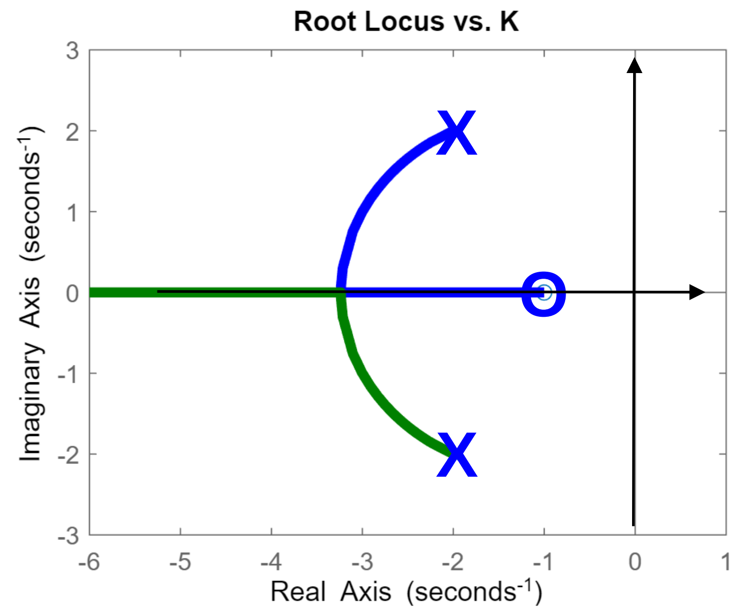
$$\begin{aligned}
\angle L(s_0) &= 180^o + 360^o\,(\,l-1\,) \\
&= \sum \psi_i - \sum \phi_i \\
&= \angle(s_0+1) \\
&\quad - \angle(s_0) - \angle(s_0+5) \\
&\quad - \angle[(s_0+2)^2+4] \\
&= 90^o - 116.6^o - 0^o \\
&\qquad - 76^o - 26.6^o \\
&= -129.2^o \quad \neq 180^o
\end{aligned}$$

$\Rightarrow$ s_0 is not on the root locus



Rules for Determining a Positive (180°) Root Locus

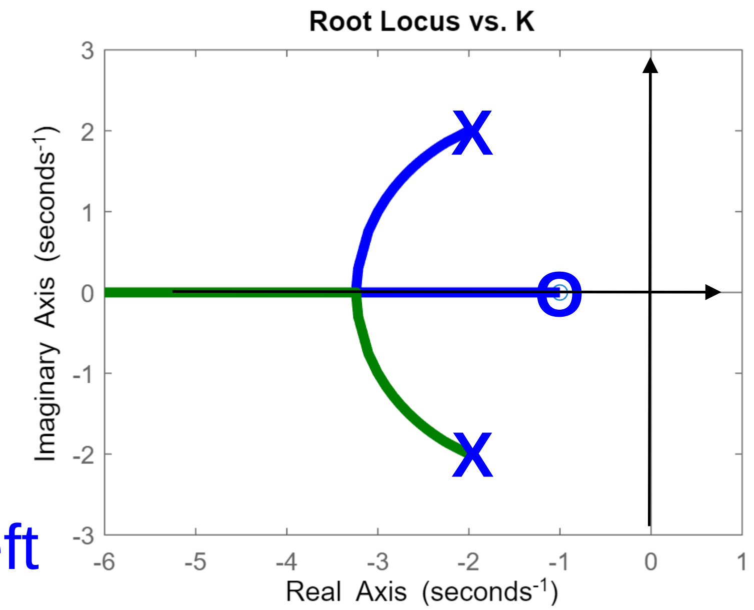
$$L(s) = \frac{s+1}{s^2+4s+8}$$



- Rule 1:
- The n branches of the locus start at the poles of L(s) and
- m of these branches end on the zeros of L(s).
- $a(s) + K\,b(s) = 0$,
- If $K = 0$, then $a(s) = 0$, whose roots are the poles.
- When $K \rightarrow \infty$, then $b(s) = 0$ (m zeros) or $s \rightarrow \infty$. (the rest n-m)

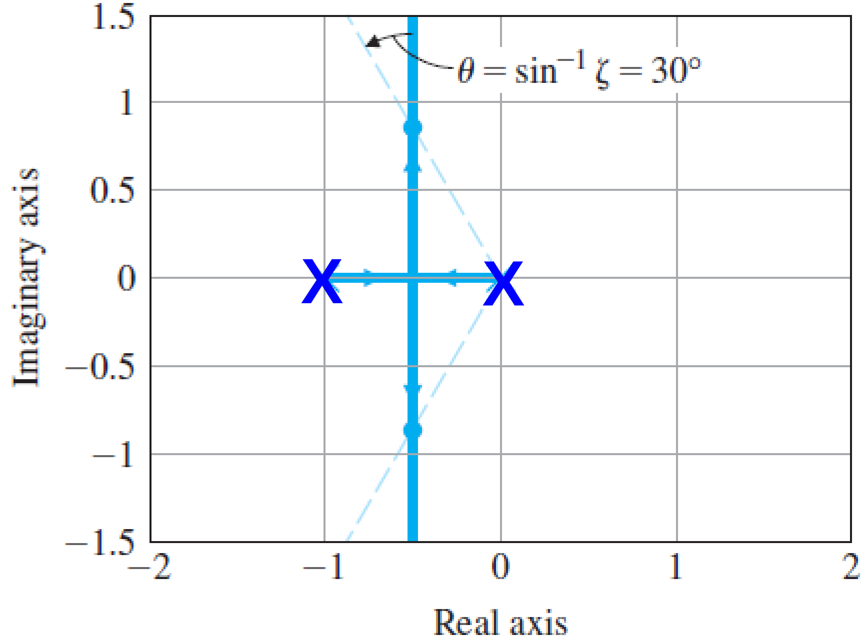
Rules for Determining a Positive (180∘) Root Locus

$$L(s) = \frac{s+1}{s^2+4s+8}$$



- Rule 2:
- The loci are on the real axis to the left of an odd number of poles and zeros.

$$L(s) = \frac{1}{s\,(s+1)}$$



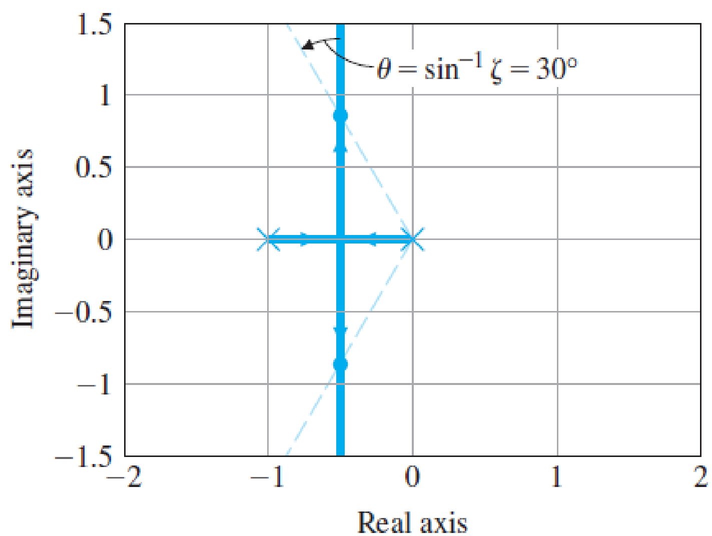
- Rule 3:

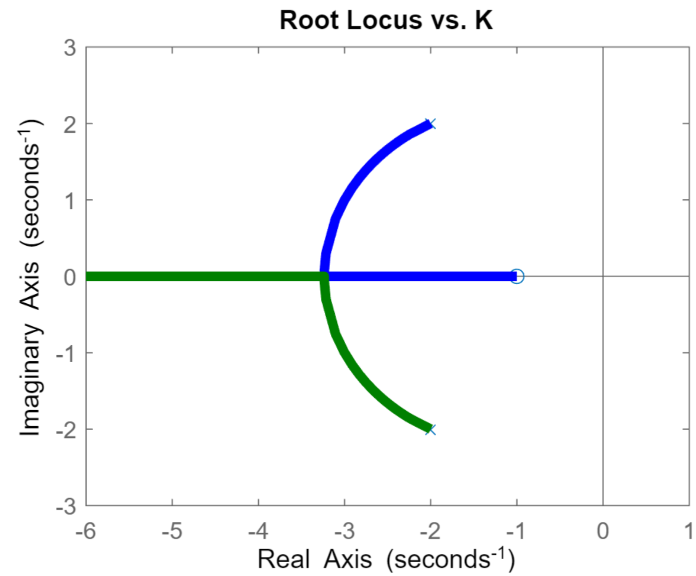
- For large s and K,

  n-m branches of the loci are asymptotic to lines at angles ϕ radiating out from the point $s = \alpha$ on the real axis, where

$$\phi_l = \frac{180^o + 360^o\,(\,l - 1\,)}{n - m} \qquad l = 1, 2, \cdots, n - m$$

$$\alpha = \frac{\sum p_i - \sum z_i}{n - m}$$





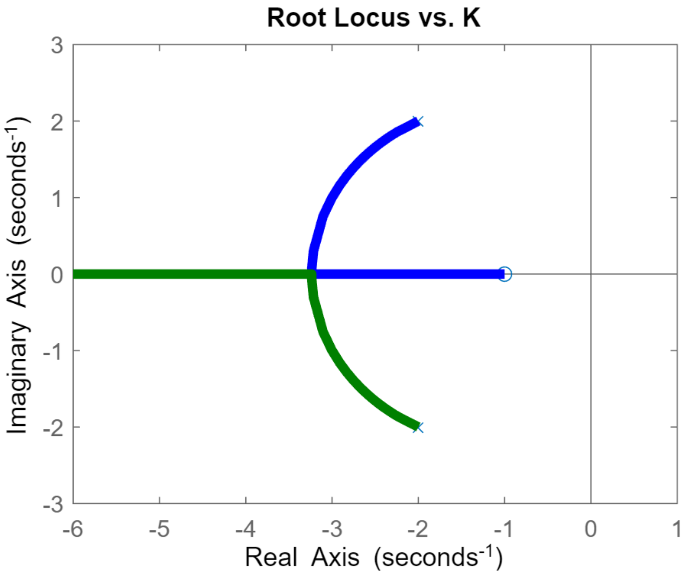
- Rule 3:
- As $K \rightarrow \infty$, $L(s) = -\frac{1}{K} \Rightarrow L(s) = 0$

1) m roots will be found to approach the zeros of L(s)

2) $s \rightarrow \infty$ because $n >= m$

that is,

$n - m$ roots approach $s \rightarrow \infty$



$$\Rightarrow 1 + K \frac{b(s)}{a(s)} = 0$$

$$\Rightarrow 1 + K \frac{s^m + b_1 s^{m-1} + b_2 s^{m-2} + \cdots + b_{m-1} s + b_m}{s^n + a_1 s^{n-1} + a_2 s^{n-2} + \cdots + a_{n-1} s + a_n} = 0$$

- Can be approximated by

$$\Rightarrow 1 + K \frac{1}{(s - \alpha)^{n-m}} = 0$$

Rules for Determining a Positive (180°) Root Locus

- Rule 3:

$$\Rightarrow \ 1 + K \frac{1}{(s-\alpha)^{n-m}} = 0$$

- The search point: $s_0 = R\, e^{j\phi}$

$$l = 1, 2, \cdots, (n-m)$$

$$(n-m)\, \phi_l = 180^o + 360^o\,(\,l - 1\,)$$

$$\Rightarrow \ \phi_l = \frac{180^o + 360^o\,(\,l - 1\,)}{(n-m)}$$

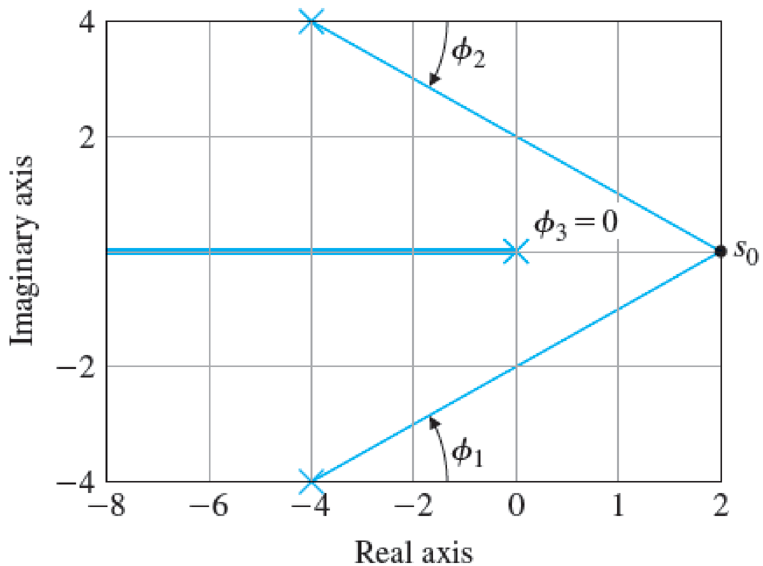
- For this example:

$$L(s) = \frac{1}{s\,[\,(s+4)^2 + 16\,)\,]}$$

$$(n-m) = 3$$

$$\phi_{1,2,3} = 60^o, 180^o, 300^o,$$

$$\text{or } \pm 60^o, 180^o$$



Rules for Determining a Positive (180∘) Root Locus

- Rule 3:
- Determine asymptotic lines:

$$
\begin{aligned}
a(s) &= s^n + a_1 s^{n-1} + a_2 s^{n-2} + \cdots + a_{n-1} s + a_n \\
&= (s - p_1)(s - p_2) \cdots (s - p_{n-1})(s - p_n)
\end{aligned}
$$

$$\Rightarrow a_1 = -p_1 - p_2 \cdots - p_{n-1} - p_n = -\sum p_i$$

$$
\begin{aligned}
b(s) &= s^m + b_1 s^{m-1} + b_2 s^{m-2} + \cdots + b_{m-1} s + b_m \\
&= (s - z_1)(s - z_2) \cdots (s - z_{m-1})(s - z_m)
\end{aligned}
$$

$$\Rightarrow b_1 = -z_1 - z_2 \cdots - z_{n-1} - z_n = -\sum z_i$$

Rules for Determining a Positive (180∘) Root Locus

- Rule 3:
- Determine asymptotic lines:

$$\Rightarrow \; a(s) + K\, b(s) \;=\; 0$$

$$\Rightarrow \; s^n + a_1 s^{n-1} + a_2 s^{n-2} + \cdots + a_{n-1} s + a_n$$

$$+K\,(s^m + b_1 s^{m-1} + b_2 s^{m-2} + \cdots + b_{m-1} s + b_m) \;=\; 0$$

$$= \;(s - r_1)\,(s - r_2)\;\cdots\;(s - r_{n-1})\,(s - r_n) \;=\; 0$$

- If m < n - 1:

$$\Rightarrow \; a_1 \;=\; -r_1 - r_2 \cdots - r_{n-1} - r_n \;=\; -\sum r_i$$

- And this term is independent of *K*
- The open-loop sum and closed-loop sum are the same and are equal to $-a_1$

$$\Rightarrow \; -\sum r_i \;=\; -\sum p_i$$

Rules for Determining a Positive (180°) Root Locus

- Rule 3:

$$L(s) = \frac{1}{s\,[\,(s+4)^2 + 16\,]}$$

- For large values of *K*:
  - *m* of the roots r_i approach the zeros z_i
  - *n - m* of the roots r_i approach the branches of the asymptotic system

$$\frac{1}{(s-\alpha)^{n-m}}$$

whose poles add up to $(n-m)\,\alpha$

$$\Rightarrow -\sum r_i = -(n-m)\alpha - \sum z_i = -\sum p_i$$

$$\Rightarrow \alpha = \frac{\sum p_i - \sum z_i}{(n-m)}$$

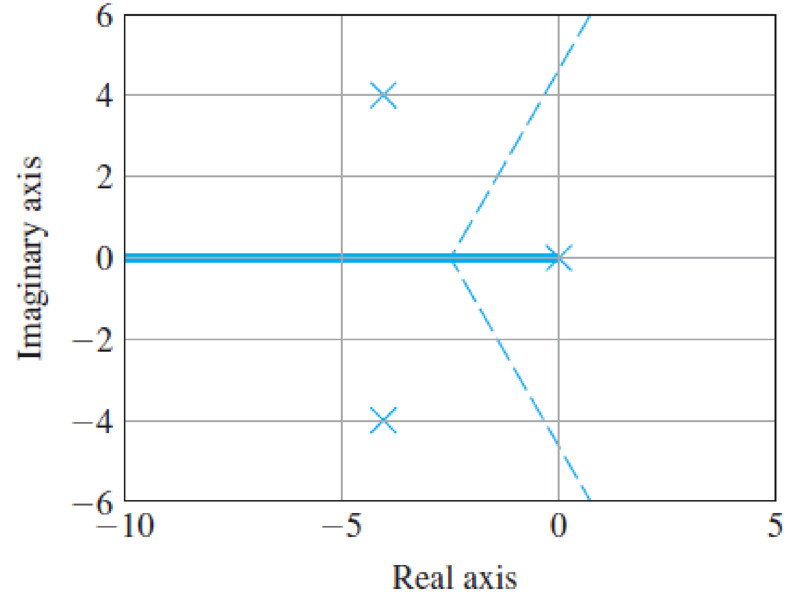
- For this example:

$$\Rightarrow \alpha = \frac{-4-4+0}{3-0}$$

$$= -\frac{8}{3} = -2.67$$

$$\phi_{1,2,3} = \pm 60^o, 180^o$$

$$2.67 \times \sqrt{3} = 4.62$$



- Rule 4:

- The angle of departure of a branch of the locus from a single pole is given by

$$\phi_{dep} = \sum \psi_i - \sum_{i \neq dep} \phi_i - 180^o$$

$\sum \phi_i$ the sum of the angles to the remaining poles

$\sum \psi_i$ the sum of the angles to all the zeros

- The angle of departure of a branch of the locus from repeated poles with multiplicity q is given by

$$q\ \phi_{l,dep} = \sum \psi_i - \sum_{i \neq l,dep} \phi_i - 180^o - 360^o(l-1)$$

$$l = 1, 2, \cdots, q$$

- Rule 4:

- The angle of arrival of a branch at a zero with multiplicity q is given by

$$q\,\psi_{l,arr} = \sum \phi_i - \sum_{i \neq l,arr} \psi_i + 180^o + 360^o(l-1)$$

$$l = 1, 2, \cdots, q$$

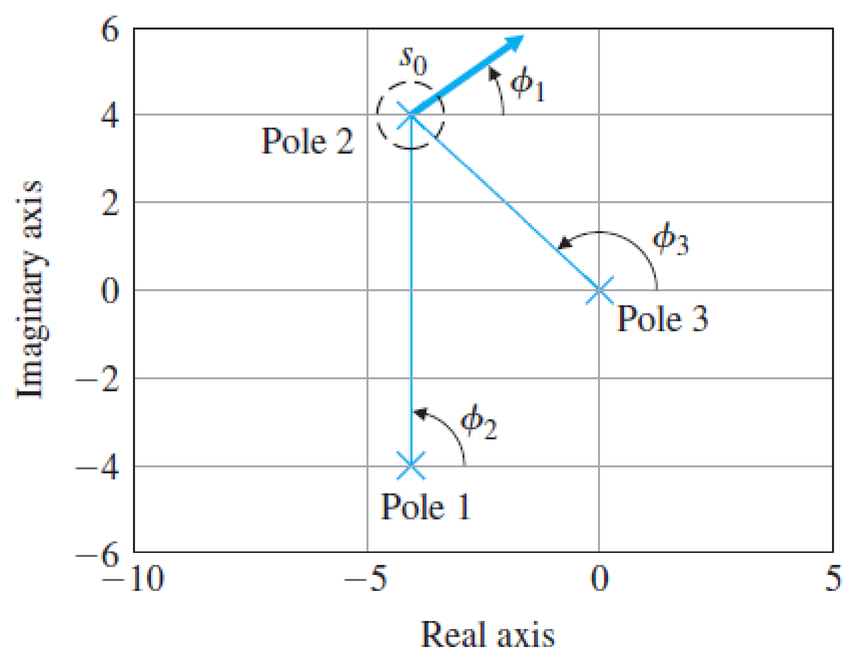
$\sum \phi_i$ the sum of the angles to all the poles

$\sum \psi_i$ the sum of the angles to the remaining zeros

- For this example:

$$\sum \psi_i - \sum \phi_i = 180^o + 360^o (l - 1)$$

$$\begin{aligned} \phi_1 &= -90^o - 135^o - 180^o \\ &= -405^o \\ &= -45^o \end{aligned}$$



- Rule 5:

- The locus can have multiple roots at points on the locus and the branches will approach a point of q roots at angles separated by

$$\frac{180^o - 360^o(l-1)}{q}$$

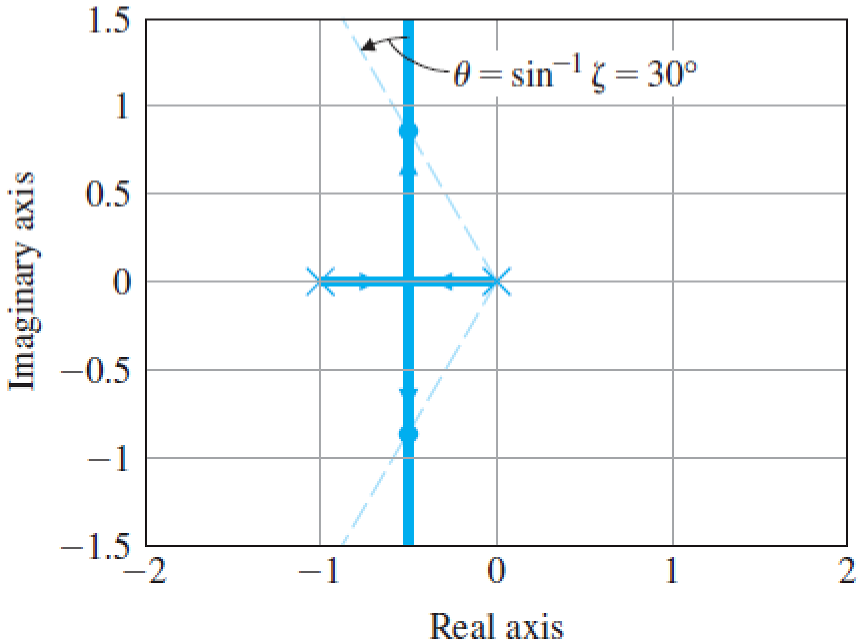
- And will depart at angles with same separation.

- For example:

$$L(s) = \frac{b(s)}{a(s)} = \frac{1}{s\,(s+1)}$$

$$K = \frac{1}{4} \quad \Rightarrow \quad s_{1,2} = -\frac{1}{2}$$

$$0^o,\ 180^o \quad \Rightarrow \quad +90^o,\ -90^o$$



- Rule 5:
- Continuation Locus:

$$L(s) = \frac{b(s)}{a(s)} = \frac{1}{s\,(s+1)}$$

$$K_1 = \frac{1}{4} \qquad \Rightarrow K = K_1 + K_2 = \frac{1}{4} + K_2$$

$$\Rightarrow s^2 + s + \frac{1}{4} + K_2 = 0$$

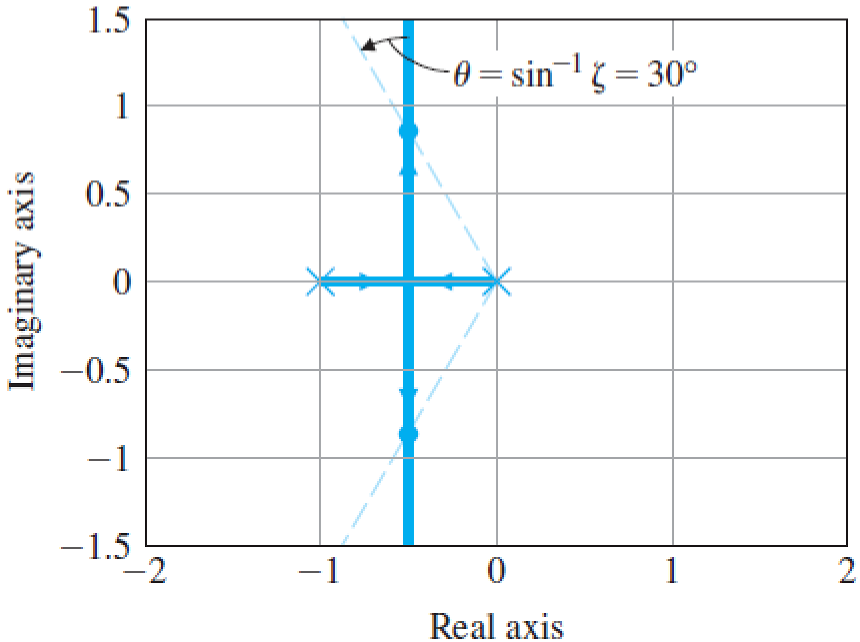
$$\Rightarrow \left(s + \frac{1}{2}\right)^2 + K_2 = 0$$

$$K_2 = 0 \qquad \Rightarrow s_{1,2} = -\frac{1}{2}$$

$$2\,\phi_{dep} = -180^o - 360^o(l-1)$$

$$\phi_{dep} = \pm 90^o$$

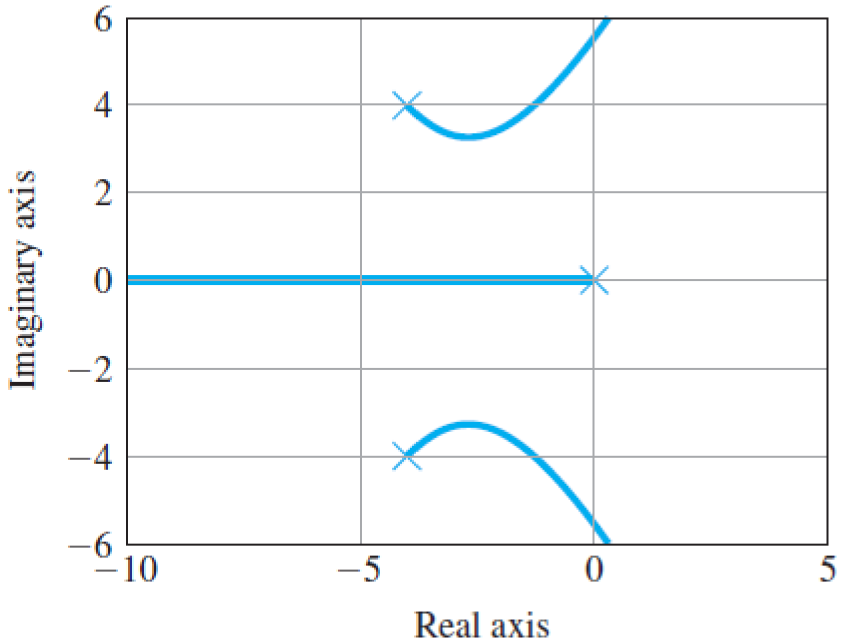
$$\phi_{arr} = 0^o, 180^o$$



Rules for Determining a Positive (180°) Root Locus

- The third-order example:

$$L(s) = \frac{1}{s\,(\,s^2 + 8s + 32\,)}$$




```
s = tf( 's' )

sysL = ( 1 )/(s*( s^2+8*s+32 ));
sysL = 1/(s*( (s+4)^2+16 ) );

 rlocus( sysL );
```

Summary of the Rules for Determining a Root Locus

- Rule 1:
- The n branches of the locus start at the poles of L(s) and
- m of these branches end on the zeros of L(s).

- Rule 2:
- The loci are on the real axis to the left of an odd number of poles and zeros.

- Rule 3:
- For large s and K,
  n-m branches of the loci are asymptotic
  to lines at angles ϕ radiating out
  from the point s = α on the real axis, where

$$\phi_l = \frac{180^o + 360^o(l - 1)}{n-m} \qquad l = 1, 2, \cdots, n-m$$

$$\alpha = \frac{\sum p_i - \sum z_i}{n-m}$$

- Rule 4:
- The angle of departure of a branch of the locus from repeated poles with multiplicity q is given by

$$q\,\phi_{l,dep} = \sum \psi_i - \sum_{i \neq l,dep} \phi_i - 180^o - 360^o(l-1)$$

$$l = 1, 2, \cdots, q$$

- The angle of arrival of a branch at a zero with multiplicity q is given by

$$q\,\psi_{l,arr} = \sum \phi_i - \sum_{i \neq l,arr} \psi_i + 180^o + 360^o(l-1)$$

- Rule 5:
- The locus can have multiple roots at points on the locus and the branches will approach a point of q roots at angles separated by

$$\frac{180^o - 360^o(l-1)}{q}$$

- And will depart at angles with same separation.

Selecting the Parameter Value

- The positive root locus
  is a plot of all possible locations
  for roots to the equation $1 + K L(s) = 0$
  for some real positive value of K.

- The purpose of design
  is to select a particular value of K
  that will meet the specifications
  for static and dynamic response.

Selecting the Parameter Value

$$L(s) = \frac{1}{s\,[\,(s+4)^2 + 16\,]\,}$$

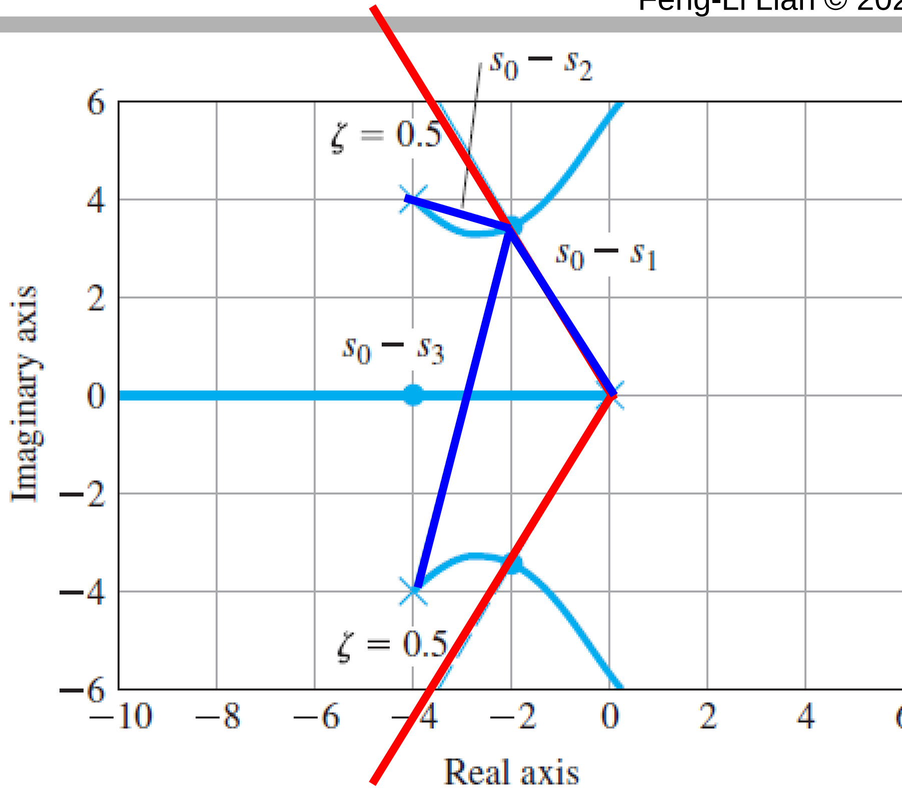
$$L(s_0) = \frac{1}{s_0\,(s_0 - s_2)\,(s_0 - s_3)}$$

$$K = \frac{1}{|L(s_0)|}$$

$$= |s_0|\,|s_0 - s_2|\,|s_0 - s_3|$$

$$\approx 4.0 \times 2.1 \times 7.7$$

$$\approx 65$$




```
s = tf( 's' )

sysL = (1)/( s*( s^2+8*s+32 ) );
sysL = (1)/( s*( (s+4)^2+16 ) );

rlocus( sysL );

[ K, p ] = rlocfind( sysL );
```

Selecting the Parameter Value

- Compute the error constant of the control system
- For example,
  the steady-state error in tracking a ramp input
  is giving by the velocity constant:

$$K_v = \lim_{s\to 0} s\,K\,L(s)$$

$$= \lim_{s\to 0} s\,K \frac{1}{s\,[\,(s + 4)^2 + 16\,)\,]}$$

$$= \frac{K}{32}$$

$$= \frac{65}{32} \approx 2\ \text{sec}^{-1}$$