



## Functions of Operating Systems

- Oversee operation of computer
- Store and retrieve files
- Schedule programs for execution
- Coordinate the execution of programs





## Chapter 3: Operating Systems

- 3.1 The History of Operating Systems
- 3.2 Operating System Architecture
- 3.3 Coordinating the Machine's Activities
- 3.4 Handling Competition Among Processes
- 3.5 Security

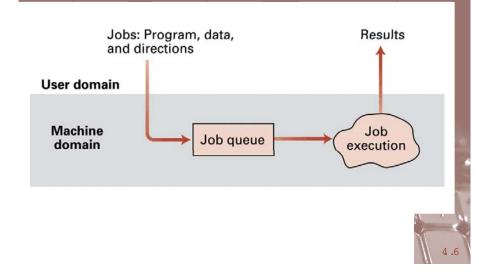


## **Evolution of Shared Computing**

- Batch processing
- Interactive processing
  - Requires real-time processing
- Time-sharing/Multitasking
- Multiprocessor machines



## Figure 3.1 Batch processing





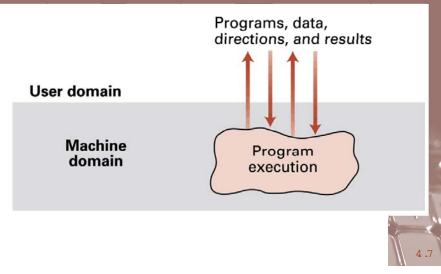
### **Types of Software**

- Application software
  - Performs specific tasks for users
- System software
  - Provides infrastructure for application software
  - Consists of operating system and utility software



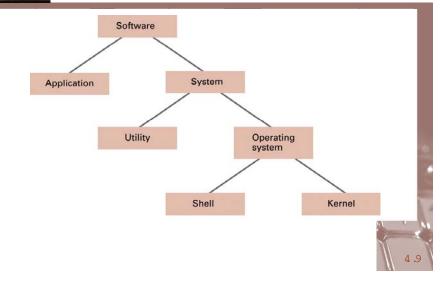


## Figure 3.2 Interactive processing





## Figure 3.3 Software classification





## **Operating System Components**

- Shell(外殼): Communicates with users
  - Text based
  - Graphical user interface (GUI)
    - Window manager
- Kernel(核心): Performing basic required functions
  - File manager
  - Device drivers
  - Memory manager
  - Scheduler and dispatcher



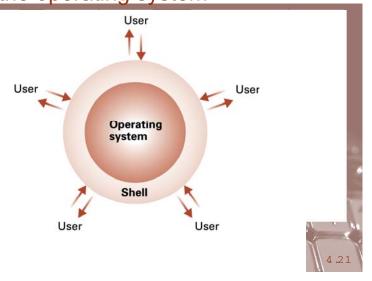
#### File Manager

- **Directory** (or **Folder**): A user-created bundle of files and other directories (subdirectories)
- **Directory Path:** A sequence of directories within directories



#### 9 10 10 5 13 8 3 14 1 4 11 15 2 6

**Figure 3.4** The shell as an interface between users and the operating system





### **Memory Manager**

- Allocates space in main memory
- May create the illusion that the machine has more memory than it actually does (virtual memory) by playing a "shell game" in which blocks of data (pages) are shifted back and forth between main memory and mass storage





## Getting it Started (Bootstrapping)

- Bootstrap(啟動): Program in ROM(唯讀記憶體)
  - Run by the CPU when power is turned on
  - Transfers operating system from mass storage to main memory
  - Executes jump to operating system

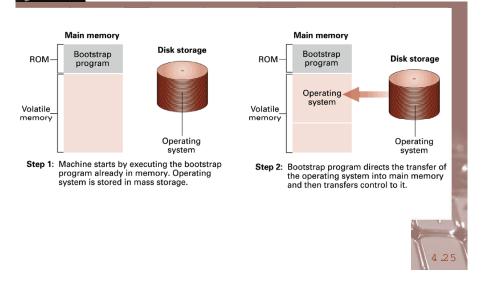


## Processes(工作單元)

- **Process:** The activity of executing a program
- Process State: Current status of the activity
  - Program counter
  - General purpose registers
  - Related portion of main memory



## Figure 3.5 The booting process





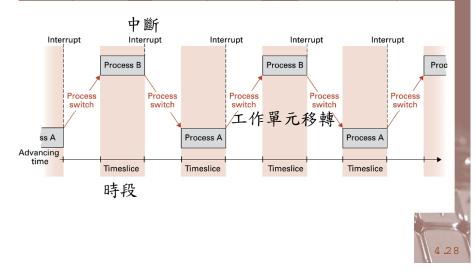
### **Process Administration**

- Scheduler(排程): Adds new processes to the process table and removes completed processes from the process table (工作單元表)
- **Dispatcher**(時段分配): Controls the allocation of time slices to the processes in the process table
  - The end of a time slice is signaled by an interrupt.





# **Figure 3.6** Time-sharing between process A and process B





### Deadlock(死結)

- Processes block each other from continuing
- Conditions required for deadlock
  - 1. Competition for non-sharable resources
  - 2. Resources requested on a partial basis
  - 3. An allocated resource can not be forcibly retrieved



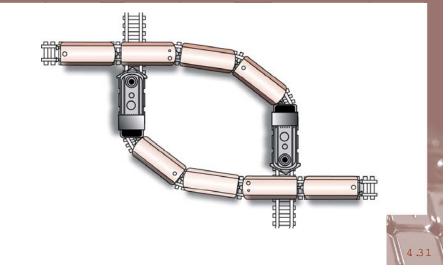


## Handling Competition for Resources

- Semaphore(信號): A "control flag"
- Critical Region(臨界區域): A group of instructions that should be executed by only one process at a time
- Mutual exclusion(互斥): Requirement for proper implementation of a critical region



**Figure 3.7** A deadlock resulting from competition for nonshareable railroad intersections





## Security(安全性)

- Attacks from outside
  - Problems
    - Insecure passwords
    - Sniffing software (竊聽軟體)
  - Counter measures (反制措施)
    - Auditing software (監控軟體)



Security (continued)

- Attacks from within
  - Problem: Unruly processes
  - Counter measures: Control process activities via privileged modes and privileged instructions(特權 指令)

