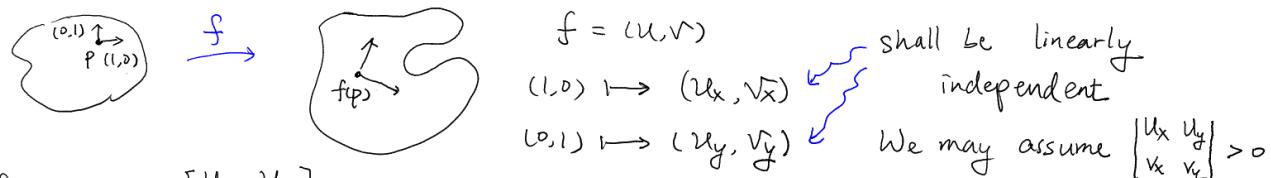


# XI Riemann Mapping Theorem

## §1 conformal mapping

a map  $f: U \subset \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is called conformal if it preserves the angles



Let  $A = \begin{bmatrix} u_x & u_y \\ v_x & v_y \end{bmatrix}$ . the conformal condition means that

$$\frac{\langle s, t \rangle}{|s|^2 |t|^2} = \frac{\langle As, At \rangle}{|As|^2 |At|^2} \quad \forall s, t \in \mathbb{R}^2 \quad [\text{straightforward}] \Rightarrow u_x = v_y, u_y = -v_x$$

Therefore,  $f = u + iv$  is analytic

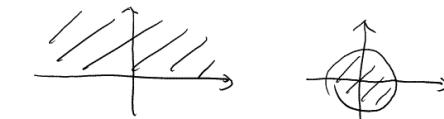
Q  $U, V \subset \mathbb{C}$ , when are they conformal equivalent?

Namely, does there exist a bijective analytic map between  $U \& V$ ?

examples 1)  $U = \mathbb{C}, V = D$   $U \xrightarrow{f} V$  ?

By Liouville,  $f$  must be a constant

2)  $U = \mathbb{H} = \{z \in \mathbb{C} \mid \operatorname{Im} z > 0\} \xrightarrow{f} V = D$



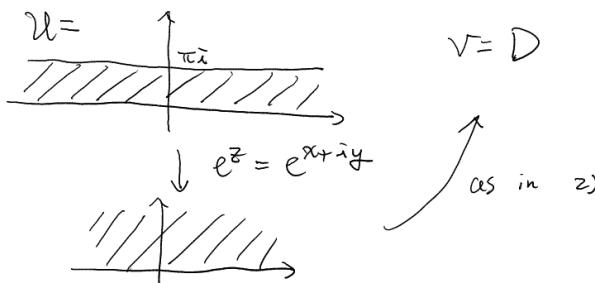
$$\text{Take } f(z) = \frac{z-i}{z+i}$$

Regard them as a subset of  $\hat{\mathbb{C}}$

$$\begin{aligned} f: i &\mapsto 0 \\ 0 &\mapsto -1 \\ \infty &\mapsto 1 \end{aligned}$$

[check]  $f$  defines a conformal equivalence

3)



$$\text{take } f(z) = \frac{e^z - i}{e^z + i}$$

as in 2)

4) polygon regions?



later ...

another viewpoint: analytic functions on  $U$

- $U = \mathbb{C}$ : a lot, but NO bounded ones except constants
- $U = D$ : a lot, also a lot bounded ones
- $U = \hat{\mathbb{C}}$ : only constants [HW9]

## §2 Riemann mapping theorem [Ahlfors, §1.1 of ch. 6]

thm  $\Omega \subseteq \mathbb{C}$ , open and simply connected. Then, for any  $z_0 \in \Omega$   
 $\exists! f : \Omega \rightarrow D$ , which satisfies  $f(z_0) = 0$ ,  $f'(z_0) > 0$ ,  
and which gives a conformal equivalence.

recall  $\text{Aut}(D) = \left\{ e^{i\theta} \frac{z-a}{1-\bar{a}z} \mid a \in D, e^{i\theta} \in S^1 \right\}$  by Schwarz lemma  

$$\begin{matrix} f(z_0) \\ f'(z_0) \end{matrix} \quad \begin{matrix} f(z_0) > 0 \text{ means} \\ f'(z_0) \in \mathbb{R}_{>0} \end{matrix}$$

It is easy to argue uniqueness from it

recall  $\Omega$ : simply-connected means that  $n(r, a) = 0 \quad \forall r \subset \Omega, a \notin \Omega$   
 $\Rightarrow \int_r f dz = 0 \quad \forall f \in H(\Omega)$ , and closed curve  $r \subset \Omega$   
 $\Rightarrow$  any nowhere zero analytic function  $f$  has a well-defined  
log., square root, etc.

pf: Consider  $\mathcal{F} = \{ g \in H(\Omega) \mid g: \text{injective}, g(z_0) = 0, g'(z_0) > 0, |g(z)| < 1 \}$

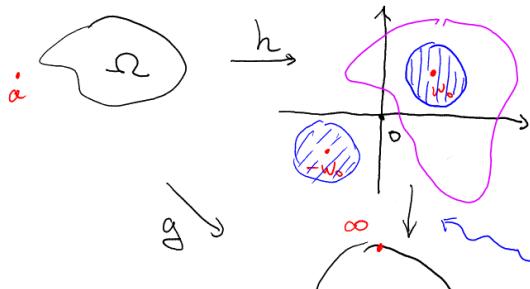
Due to Schwarz lemma, we are looking for  $f \in \mathcal{F}$  with maximal  $f(z_0)$

[Suppose true: consider  $D \xrightarrow{g^{-1}} \Omega \xrightarrow{f \in \mathcal{F}} D$ ]

1°  $\mathcal{F} \neq \emptyset$ . By assumption,  $\exists a \in \mathbb{C} \setminus \Omega$ .

Since  $z-a \neq 0$  on  $\Omega$ ,  $h(z) = \sqrt{z-a}$  is well-defined on  $\Omega$   
[intuitively, the image belongs to some half-plane]  
[we can apply example 2 to map it into  $D$ ]

Denote  $h(z_0)$  by  $w_0$ . By open mapping,  $h(\Omega) \supset B(w_0; \rho)$



Since both  $z-a$  and  $h(z) = \sqrt{z-a}$  are injective,  $B(-w_0; \rho) \subset \mathbb{C} \setminus h(\Omega)$

As a consequence,  $|w_0| > \rho \geq \frac{\rho}{2}$

$$\begin{aligned} -w_0 &\mapsto \infty \\ w_0 &\mapsto 0 \\ \partial B(-w_0; \rho) &\mapsto \text{inside } D \end{aligned}$$

also, normalize it  
so that  $g'(z_0) > 0$

$$\frac{w-w_0}{w+w_0} = \frac{1}{\rho} (-2w_0 + \rho e^{i\theta})$$

$$\left| \frac{1}{\rho} (-2w_0 + \rho e^{i\theta}) \right| < \frac{4|w_0|}{\rho}$$

$$\left. \frac{\partial}{\partial w} \right|_{w=w_0} \left( \frac{w-w_0}{w+w_0} \right) = + \frac{2}{w_0}$$

$$\Rightarrow g(w) = \frac{\rho}{4|h(z_0)|} \frac{h(z_0)}{|h'(z_0)|} \frac{|h'(z)|}{h'(z_0)} \frac{h(z) - h(z_0)}{h(z) + h(z_0)} \in \mathcal{F}$$

2° Let  $B = \sup \{ f'(z) \mid z \in \Omega \} \in \mathbb{R}, \forall z \in \Omega$

$\exists f_n \in \mathcal{F}_r$  such that  $f'_n(z_0) \rightarrow B$  as  $n \rightarrow \infty$

By Montel, we may assume  $f_n$  converges to  $f \in \mathcal{H}(\Omega)$  uniformly on compact subset of  $\Omega$ .

As a consequence,  $B < \infty$

3° [injectivity of  $f$ ] At first, it follows from  $f'(z_0) = B > 0$  that  $f$  is not a constant function

Choose any  $z_1 \in \Omega$ ,  $f(z) - f(z_1) = 0 \Leftrightarrow z = z_1$

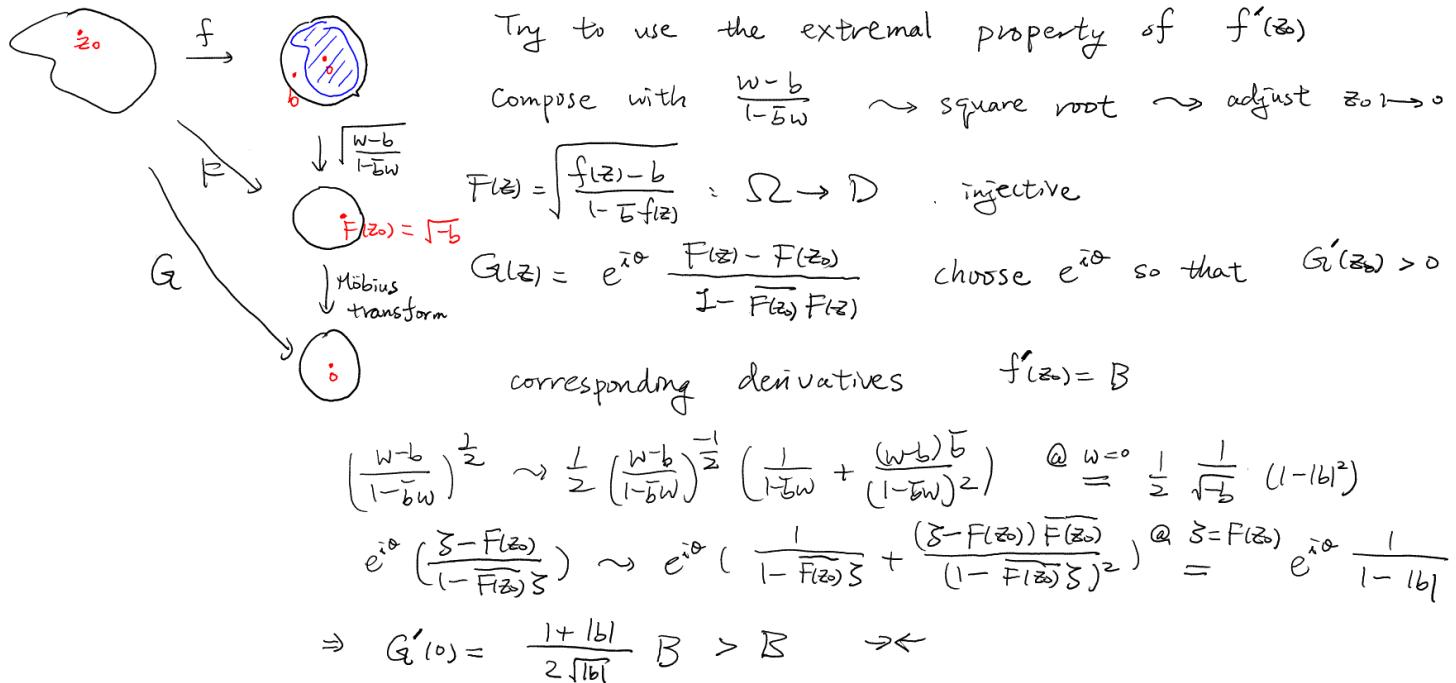
$$g_n(z) = f_n(z) - f_n(z_1) \rightarrow g(z) = f(z) - f(z_1)$$

uniformly on any compact subset of  $\Omega \setminus \{z_1\}$

But  $g_n(z) = 0$  nowhere  $\Rightarrow g(z) = 0$  nowhere zero, or identically zero

cannot happen

4° [surjectivity of  $f$ ] If  $\exists b \in D \setminus f(\Omega)$ , what happens?



### §3 Schwarz reflection principle

goal understand the boundary behavior of a conformal equivalence

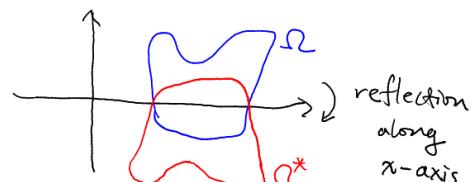
When could  $f: U \rightarrow V$  be extended to the boundary, or across the boundary?

toy model

/ observation:

$\Omega \subset \mathbb{C}$  open & connected

$$\Omega^* = \{ z \in \mathbb{C} \mid \bar{z} \in \Omega \}$$



$$f: \Omega \rightarrow \mathbb{C} \text{ analytic} \Leftrightarrow \frac{\partial}{\partial \bar{z}} f(z) = 0$$

| check |

$$\leadsto \text{define } g: \Omega^* \rightarrow \mathbb{C} \text{ by } \overline{f(\bar{z})} \quad \frac{\partial}{\partial \bar{z}} g(z) = \frac{\partial}{\partial \bar{z}} \overline{f(\bar{z})} = \overline{\frac{\partial}{\partial z} f(z)} = 0$$

In particular, if  $\Omega = \Omega^*$ , we have two analytic functions on it.  
Could they be the same?

thm (Schwarz reflection principle) Suppose that  $\Omega = \Omega^*$ .

If  $f: \Omega_+ \cup \Omega_0 \rightarrow \mathbb{C}$  is continuous, analytic on  $\Omega_+$ , and takes real value on  $\Omega_0$ .

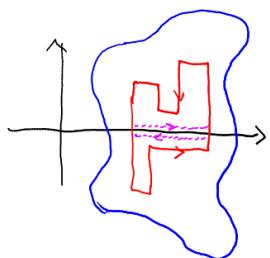
then,  $\exists F: \text{analytic on } \Omega$  which extends  $f$ .

Pf: For  $z \in \Omega_-$  define  $F(z)$  by  $\overline{f(\bar{z})}$ .

$\Rightarrow F \in C(\Omega)$ , and analytic on  $\Omega_+ \cup \Omega_-$ .

It is not hard to justify the criterion of Morera:

$$\int_{\gamma} F dz = 0 \quad \text{for any closed, rectangular loop } \gamma$$

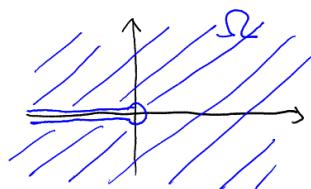


## §4 boundary behavior [Ahlfors, §1.2 and 1.3 of ch. 6]

[Stein, §4-3 of ch. 8]

start with a bad example.

example  $\Omega = \mathbb{C} \setminus \{x \in \mathbb{R} \mid x \leq 0\} \xrightarrow{f} D$



$$z \mapsto \frac{\sqrt{z} + 1}{\sqrt{z} - 1}$$

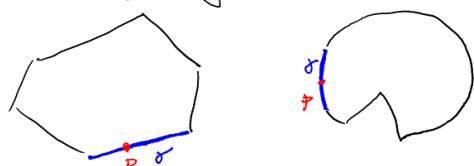
$$\left(\frac{w+1}{w-1}\right)^2 \longleftarrow w$$

$$\partial\Omega = \{x \in \mathbb{R} \mid x \leq 0\}$$

cannot really extend  $f$

but okay for  $f^{-1}$  (except  $z=\infty \leftrightarrow w=1$ )

For simplicity, consider only the simplest boundary:



$r \subset \partial\Omega$ : a line segment, or part of a circle

and it is one-sided.

Namely.  $\forall p \in r, \exists B(p; \varepsilon)$  such that

$B(p; \varepsilon) \setminus r$  has two components, and  $\Omega \cap B(p; \varepsilon)$  is exactly one of them

goal / assumption:  $f: \Omega \rightarrow D$  (or  $D \rightarrow \Omega$ : bounded) extend it to  $r \subset \partial\Omega$   
 conformal equivalence

$$1^\circ \text{ area : } f = (u, v) \quad J(f) = \begin{vmatrix} u_x & u_y \\ v_x & v_y \end{vmatrix} = \begin{vmatrix} u_x & -v_x \\ v_x & u_x \end{vmatrix} = u_x^2 + v_x^2 = |f'(z)|^2$$

$$u \subset \Omega \quad \text{Area}(f(u)) = \iint_u |f'(z)|^2 dx dy$$

(not only  $f(z_n)$  converges, but also  $f(z'_n)$  converges to the same limit)

lemma  $\forall 0 < r < \epsilon$ , given  $z_r, z'_r \in \Omega$ ,  $|z_r - p| = |z'_r - p| = r$   
 Then,  $\exists r_n \rightarrow 0$ . such that  $\lim_{n \rightarrow \infty} |f(z_n) - f(z'_n)| = 0$

Pf: Denote  $|f(z_r) - f(z'_r)|$  by  $\rho(r)$

Suppose the assertion is NOT true. Then,  $\rho(r) \geq \delta > 0$

$$\rho(r) \leq \int_{\alpha} |f'(z)| |dz| \quad \alpha: \text{any arc in } \Omega \quad \forall 0 < r < \epsilon$$

connecting  $z_r$  &  $z'_r$

take  $\alpha = p + re^{i\theta}$   $r: \text{fixed}$ .  $\theta: \text{parameter}$

$$z_r = p + re^{i\theta_1(r)}$$

$$z'_r = p + re^{i\theta_2(r)}$$

$$\Rightarrow \delta \leq \int_{\theta_1(r)}^{\theta_2(r)} |f'(z)| r d\theta$$

$$\leq \left( \int_{\theta_1(r)}^{\theta_2(r)} |f'(z)|^2 r d\theta \right)^{\frac{1}{2}} \left( \int_{\theta_1(r)}^{\theta_2(r)} r d\theta \right)^{\frac{1}{2}}$$

[try to relate to area]

[Cauchy-Schwarz in  $|f'(z)|r^{\frac{1}{2}} \& r^{\frac{1}{2}}$ ]

$$\Rightarrow \frac{\delta^2}{r} \leq \pi \int_0^{\pi} |f'(z)|^2 r dr$$

$$\Rightarrow \delta^2 \int_0^{\pi} \frac{1}{r} dr \leq \pi \int_0^{\pi} \int_0^{\pi} |f'(z)|^2 r dr d\theta =$$

$< \infty \quad \Rightarrow$

3° lemma With the same setting,  $\lim_{z \rightarrow p} f(z)$  exists.

Pf: Let  $z_n \in \Omega$ ,  $z_n \rightarrow p$ .

Since  $f(\Omega)$  is bounded, we may assume  $\lim_{n \rightarrow \infty} f(z_n)$  exists

By [Homework], the limit belongs to  $\partial D$

Suppose the lemma is false.  $\exists \{z_n\} \rightarrow p$ .  $\{z'_n\} \rightarrow p$ .  
 such that  $\lim f(z_n) = \zeta \neq \zeta' = \lim f(z'_n)$

Then, we can easily construct  $z_r$  &  $z'_r$  violate the previous lemma:

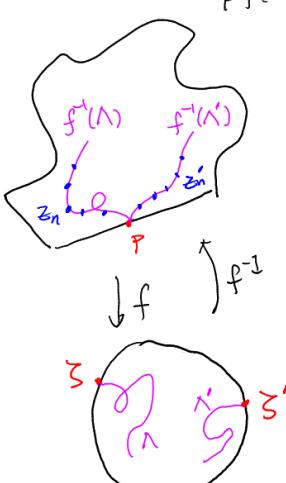
$\Lambda, \Lambda'$ : curves in  $D$  such that  $f(z_n) \in \Lambda$ ,  $f(z'_n) \in \Lambda'$   
 (basically, joining  $f(z_n)$  together)

Since  $\zeta \neq \zeta'$ ,  $|w - w'| > \delta > 0 \quad \forall w \in \Lambda, w' \in \Lambda'$

But the points on  $f(\Lambda)$  &  $f(\Lambda')$  would violate the lemma  $\Rightarrow$

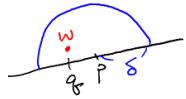
4° Now, we know that  $\lim_{z \rightarrow p} f(z)$  exists

We can take the limit  $\forall p \in$  the boundary segment  $r \subset \partial\Omega$



claim the extension is continuous on  $\bar{\Omega} \cup \sigma$

pf: given  $\varepsilon > 0$   $\exists \delta > 0$  such that  $|f(z) - f(p)| < \varepsilon$   $\forall z \in \bar{\Omega}$   
 $|z - p| < \delta$

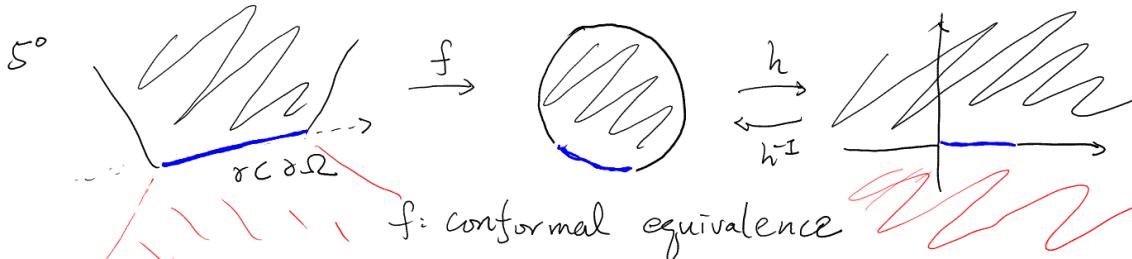


For any  $q \in \partial\bar{\Omega}$ ,  $|q - p| < \delta$

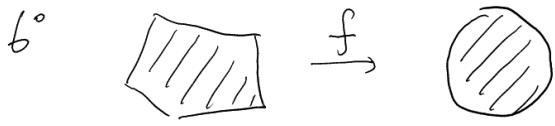
Since  $\lim_{z \rightarrow q} f(z) = f(q)$ .  $\exists w \in B(p, \delta) \cap \bar{\Omega}$

such that  $|f(w) - f(q)| < \varepsilon$

$$\Rightarrow |f(p) - f(q)| < |f(p) - f(w)| + |f(w) - f(q)| < 2\varepsilon \quad \star$$



By combining the above discussion with the Schwarz reflection principle,  $f$  can be extended to an analytic function across  $\sigma$



how to construct the conformal equivalence between a polygon and the disk?

We have to study more about the behavior near the vertex  
[NEXT WEEK].