

**DIFFERENTIAL GEOMETRY I**  
**HOMEWORK 7**

DUE: WEDNESDAY, NOVEMBER 5

- (1) The *Heisenberg group* is diffeomorphic to  $\mathbb{R}^3$ , but the Lie group structure is different. It is not abelian.

$$H = \left\{ \begin{bmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{bmatrix} : x, y, z \in \mathbb{R} \right\} .$$

It is not hard to show that  $H$  is a Lie subgroup of  $\text{Gl}(3; \mathbb{R})$ . Denote its tangent space at the identity by  $\mathfrak{h}$ . The space  $\mathfrak{h}$  can be identified with the space of  $3 \times 3$  matrices whose lower-diagonal elements all vanish.

- (a) Calculate  $\exp : \mathfrak{h} \rightarrow H$ , and show that it is a diffeomorphism. [Hint: You may use  $u, v, w$  as the coordinates for  $\mathfrak{h}$  to avoid confusion. The power series in this case turns out to have only finitely many terms. The inverse map of exponential can be solved explicitly.]
- (b) Construct three linearly independent *left-invariant* 1-forms on  $H$ . [Hint: Any 1-form on  $H$  can be expressed as  $a_1 dx + a_2 dy + a_3 dz$  for  $a_j \in C^\infty(\mathbb{R}^3; \mathbb{R})$ .]
- (c) Construct three linearly independent *right-invariant* 1-forms on  $H$ .
- (d) Use Part (b) and (c) to construct a *bi-invariant*<sup>1</sup> volume form on  $H$ .

*Remark.* With Part (b), it is fairly easy to construct a left-invariant Riemannian metric on  $H$ . Let  $\sigma^1, \sigma^2$  and  $\sigma^3$  be the answer to Part (b). Choose a positive-definite, symmetric  $3 \times 3$  matrix  $[b_{ij}]_{i,j=1}^3$ . Then,  $\sum_{i,j=1}^3 b_{ij} \sigma^i \otimes \sigma^j$  defines a left-invariant Riemannian metric on  $H$ . Such a construction works for any Lie group.

- (2) Consider

$$G = \left\{ \begin{bmatrix} x & y \\ 0 & 1 \end{bmatrix} : x > 0 \text{ and } y \in \mathbb{R} \right\} .$$

Its tangent space at the identity can be identified with

$$\mathfrak{g} = \left\{ \begin{bmatrix} u & v \\ 0 & 0 \end{bmatrix} : u, v \in \mathbb{R} \right\} .$$

A direct computation shows that

$$\exp\left(\begin{bmatrix} u & v \\ 0 & 0 \end{bmatrix}\right) = \begin{bmatrix} e^u & \frac{e^u - 1}{u} v \\ 0 & 1 \end{bmatrix} .$$

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<sup>1</sup>A differential form on a Lie group is called bi-invariant if it is both left-invariant and right-invariant.

- (a) Construct two linearly independent left-invariant 1-forms on  $G$ , and calculate their pull-back under the exponential map. [Hint: The function  $1/x$  is smooth on  $G$ .]
- (b) Construct a left-invariant and a right-invariant volume form on  $G$ , and show that the only bi-invariant 2-form on  $G$  is zero.

*Remark.* In general, a Lie group may not admit a bi-invariant volume form.

- (3) Consider  $\mathrm{Sl}(2; \mathbb{R}) = \{\mathbf{m} \in \mathrm{Gl}(2; \mathbb{R}) \mid \det \mathbf{m} = 1\}$ . Denote the identity matrix by  $\mathbf{1}$ . Its tangent space at  $\mathbf{1}$  can be identified with  $2 \times 2$ , traceless matrices. Denote it by

$$\mathfrak{sl}(2; \mathbb{R}) = \{\mathbf{a} \in \mathrm{M}(2; \mathbb{R}) \mid \mathrm{tr}(\mathbf{a}) = 0\} .$$

Consider the exponential map

$$\begin{aligned} \exp : \mathfrak{sl}(2; \mathbb{R}) &\rightarrow \mathrm{Sl}(2; \mathbb{R}) \\ \mathbf{a} &\mapsto \mathbf{1} + \mathbf{a} + \frac{1}{2}\mathbf{a}^2 + \cdots + \frac{1}{k!}\mathbf{a}^k + \cdots \end{aligned}$$

By using #1 of Homework 2, it is not hard to show that the image does belong to  $\mathrm{Sl}(2; \mathbb{R})$ .

- (a) Prove that for any  $\mathbf{a} \in \mathfrak{sl}(2; \mathbb{R})$ , the eigenvalues of  $\exp(\mathbf{a})$  lie either in the unit circle, or in the positive real line. [Hint: Consider the Jordan form of  $\mathbf{a}$ .]

It follows that  $-\mathbf{1} \in \mathrm{Sl}(2; \mathbb{R})$  does not belong to the image of the exponential map, and the exponential map is *not surjective*.

- (b) For any  $\mathbf{a} \in \mathfrak{sl}(2; \mathbb{R})$ , prove that

$$\exp(\mathbf{a}) = (\cosh \lambda) \mathbf{1} + \frac{\sinh \lambda}{\lambda} \mathbf{a}$$

where  $\lambda = (-\det \mathbf{a})^{\frac{1}{2}}$  is one of the eigenvalues of  $\mathbf{a}$ . When  $\det \mathbf{a} = 0$ , the above formula reads  $\exp(\mathbf{a}) = \mathbf{1} + \mathbf{a}$ . [Hint: Consider the Jordan form of  $\mathbf{a}$ . Remember that the hyperbolic sine and cosine functions are nothing more than simple linear combinations of the exponential functions.]

For  $\mathbf{a} = \begin{bmatrix} 0 & t \\ -t & 0 \end{bmatrix} \in \mathfrak{sl}(2; \mathbb{R})$ ,  $\exp(\mathbf{a}) = \begin{bmatrix} \cos t & \sin t \\ -\sin t & \cos t \end{bmatrix}$ . Thus, the exponential map is *not injective*. The inverse function theorem says that there exists a neighborhood  $U$  of  $\mathbf{0}$  such that the restriction of the exponential map on  $U$  is a diffeomorphism onto its image. In this case, we may take that  $U$  to be  $\{\mathbf{a} \in \mathfrak{sl}(2; \mathbb{R}) \mid (\det \mathbf{a})^2 < \pi^2\}$ .

- (4) Reading assignment: [T; §5.7].