

# INTRODUCTION TO SYMPLECTIC GEOMETRY

FOR SEPTEMBER 12

## 1. ON THE DETERMINANT OF SYMPLECTIC MATRICES

We adopt the notation of Differential Geometry. Let  $\{\frac{\partial}{\partial x^1}, \frac{\partial}{\partial x^2}, \dots, \frac{\partial}{\partial x^n}, \frac{\partial}{\partial y^1}, \frac{\partial}{\partial y^2}, \dots, \frac{\partial}{\partial y^n}\}$  be the basis for  $\mathbb{R}^{2n}$ . And let  $\{dx^1, dx^2, \dots, dx^n, dy^1, dy^2, \dots, dy^n\}$  be the dual basis for  $(\mathbb{R}^{2n})^*$ . The standard symplectic bilinear map is

$$\omega_0 = \sum_{j=1}^n dx^j \wedge dy^j .$$

Let  $\mathcal{B}$  be a linear change of basis:

$$\begin{aligned} \mathcal{B} : \mathbb{R}^n &\rightarrow \mathbb{R}^n \\ \frac{\partial}{\partial x^j} &\mapsto \sum_{k=1}^n (B_j^k \frac{\partial}{\partial x^k} + B_j^{n+k} \frac{\partial}{\partial y_k}) \\ \frac{\partial}{\partial y^j} &\mapsto \sum_{k=1}^n (B_{n+j}^k \frac{\partial}{\partial x^k} + B_{n+j}^{n+k} \frac{\partial}{\partial y_k}) \end{aligned}$$

The induce map on the dual space goes another direction (the *pull-back* map):

$$\begin{aligned} (\mathbb{R}^n)^* &\leftarrow (\mathbb{R}^n)^* : \mathcal{B}^* \\ \sum_{k=1}^n (B_k^j dx^k + B_{n+k}^j dy^k) &\leftarrow dx^j \\ \sum_{k=1}^n (B_k^{n+j} dx^k + B_{n+k}^{n+j} dy^k) &\leftarrow dy^j \end{aligned} \quad (1.1)$$

**Preserving the symplectic form.** Suppose that  $B^* \omega_0 = \omega_0$ . That is to say,

$$\omega_0(\mathcal{B}(\frac{\partial}{\partial x^j}), \mathcal{B}(\frac{\partial}{\partial x^\ell})) = \omega_0(\frac{\partial}{\partial x^j}, \frac{\partial}{\partial x^\ell}) \Rightarrow \sum_{k=1}^n (B_j^k B_\ell^{n+k} - B_j^{n+k} B_\ell^k) = 0 , \quad (1.2)$$

$$\omega_0(\mathcal{B}(\frac{\partial}{\partial y^j}), \mathcal{B}(\frac{\partial}{\partial y^\ell})) = \omega_0(\frac{\partial}{\partial y^j}, \frac{\partial}{\partial y^\ell}) \Rightarrow \sum_{k=1}^n (B_{n+j}^k B_{n+\ell}^{n+k} - B_{n+j}^{n+k} B_{n+\ell}^k) = 0 , \quad (1.3)$$

$$\omega_0(\mathcal{B}(\frac{\partial}{\partial x^j}), \mathcal{B}(\frac{\partial}{\partial y^\ell})) = \omega_0(\frac{\partial}{\partial x^j}, \frac{\partial}{\partial y^\ell}) \Rightarrow \sum_{k=1}^n (B_j^k B_{n+\ell}^{n+k} - B_j^{n+k} B_{n+\ell}^k) = \delta_{j\ell} . \quad (1.4)$$

Let  $B$  be the  $2n \times 2n$  matrix whose  $j$ -th row is  $[B_1^j \ B_2^j \ \dots \ B_{2n}^j]$ .

**Claim.** The conditions (1.2), (1.3) and (1.4) are equivalent to that  $B^T J_n B = J_n$  where  $J_n$  is the following  $2n \times 2n$  matrix

$$J_n = \begin{bmatrix} 0 & -I_n \\ I_n & 0 \end{bmatrix} .$$

**Preserving the symplectic volume.** Note that

$$\frac{1}{n!}\omega_0^n = dx^1 \wedge dy^1 \wedge dx^2 \wedge dy^2 \wedge \cdots \wedge dx^n \wedge dy^n$$

is a volume form. If  $\mathcal{B}^*\omega_0 = \omega_0$ , then  $\mathcal{B}^*(\frac{1}{n!}\omega_0^n) = \frac{1}{n!}\omega_0^n$ .

**Claim.** It follows from (1.1) that

$$\begin{aligned} & \mathcal{B}^*(dx^1 \wedge dx^2 \wedge \cdots \wedge dx^n \wedge dy^1 \wedge dy^2 \wedge \cdots \wedge dy^n) \\ &= \det(B^T)(dx^1 \wedge dx^2 \wedge \cdots \wedge dx^n \wedge dy^1 \wedge dy^2 \wedge \cdots \wedge dy^n) \end{aligned}$$

As a result,  $\text{Sp}(n) \subseteq \text{SL}(2n; \mathbb{R})$ . I will not assign [CdS1, Homework 2]. You can read that homework, and compare it with this section.

## 2. ON THE SPACE OF ALL LAGRANGIANS IN $(\mathbb{R}^{2n}, \omega_0)$

### 2.1. Quick review of orthogonal group and unitary group.

- (i) The general linear group  $\text{GL}(n; \mathbb{R})$  consists of all  $n \times n$  invertible matrices (with real entries). The group multiplication is the matrix multiplication. The group  $\text{GL}(n; \mathbb{C})$  consists of all  $n \times n$  invertible matrices with complex entries.
- (ii) The special linear group  $\text{SL}(n; \mathbb{R})$  consists of all  $n \times n$  matrices with determinant 1. It is a subgroup of  $\text{GL}(n; \mathbb{R})$ .
- (iii) The orthogonal group is defined to be

$$\text{O}(n) = \{A \in \text{GL}(n; \mathbb{R}) \mid A^T A = I_n\}$$

where  $T$  means transpose, and  $I_n$  is the  $n \times n$  identity matrix. The following statements are equivalent to each other:

- (a)  $A \in \text{O}(n)$ ;
- (b) the column vectors of  $A$  form an orthonormal basis for  $\mathbb{R}^n$ ;
- (c) the row vectors of  $A$  form an orthonormal basis for  $\mathbb{R}^n$ .
- (iv) The unitary group is defined to be

$$\text{U}(n) = \{T \in \text{GL}(n; \mathbb{C}) \mid T^* T = I_n\}$$

where  $*$  means conjugate-transpose. The following statements are equivalent to each other:

- (a)  $T \in \text{U}(n)$ ;
- (b) the column vectors of  $T$  form an unitary basis for  $\mathbb{C}^n$ ;
- (c) the row vectors of  $T$  form an unitary basis for  $\mathbb{C}^n$ .
- (v) Note that  $\text{O}(n)$  is a subgroup of  $\text{U}(n)$ . We can form a homogeneous space  $\text{U}(n)/\text{O}(n)$  where  $\text{O}(n)$  acts by right multiplication. Namely, it is

$$\text{U}(n)/\{T \sim TA \text{ where } A \in \text{O}(n)\}.$$

You can find a brief introduction to homogeneous spaces in most textbook of Differential Geometry.

- (vi) Let  $W^k$  be a subspace of  $\mathbb{R}^m$ . We endow  $\mathbb{R}^m$  with the standard inner product. Fix an orthonormal basis  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$  for  $W^k$ . Then  $\{\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_k\}$  is an orthonormal basis for  $W^k$  if and only if

$$\begin{bmatrix} | & | & \cdots & | \\ \mathbf{w}_1 & \mathbf{w}_2 & \cdots & \mathbf{w}_k \\ | & | & & | \end{bmatrix} = \begin{bmatrix} | & | & \cdots & | \\ \mathbf{v}_1 & \mathbf{v}_2 & \cdots & \mathbf{v}_k \\ | & | & & | \end{bmatrix} A$$

$(m \times k)$   $(m \times k)$   $(k \times k)$

for some  $A \in O(k)$ . This is an exercise in linear algebra.

**2.2. Lagrangians in  $(\mathbb{R}^{2n}, \omega_0)$ .** The coordinate for  $\mathbb{R}^{2n}$  is  $(x_1, x_2, \dots, x_n, y_1, y_2, \dots, y_n)$ , and  $\omega_0 = \sum_{j=1}^n dx_j \wedge dy_j$ .

Let  $L$  be a Lagrangian  $n$ -plane in  $(\mathbb{R}^{2n}, \omega_0)$ . Choose an *orthonormal*<sup>1</sup> basis  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  for  $L$ . Each  $\mathbf{v}_j$  is an  $2n$ -column vector. Denote its upper  $n$ -components by  $\mathbf{x}_j$ , and lower  $n$ -components by  $\mathbf{y}_j$ . We may regard  $\mathbf{x}_j$  and  $\mathbf{y}_j$  as vectors of  $\mathbb{R}^n$ . The orthonormal condition is equivalent to

$$\langle \mathbf{x}_j, \mathbf{x}_k \rangle + \langle \mathbf{y}_j, \mathbf{y}_k \rangle = \delta_{jk} . \quad (2.1)$$

Here,  $\langle \mathbf{a}, \mathbf{b} \rangle = \mathbf{b}^* \mathbf{a}$  is the standard Euclidean (Hermitian if vectors are complex) inner product.

**Claim.** The Lagrangian condition is equivalent to

$$\omega_0(\mathbf{v}_j, \mathbf{v}_k) = 0 \quad \Rightarrow \quad \langle \mathbf{x}_j, \mathbf{y}_k \rangle - \langle \mathbf{x}_k, \mathbf{y}_j \rangle = 0 . \quad (2.2)$$

Set  $\mathbf{u}_j$  to be  $\mathbf{x}_j + i\mathbf{y}_j \in \mathbb{C}^n$

**Claim.** It follows from (2.1) and (2.2) that

$$\langle \mathbf{u}_j, \mathbf{u}_k \rangle = \delta_{jk} .$$

By (iv),

$$\begin{bmatrix} | & | & \cdots & | \\ \mathbf{u}_1 & \mathbf{u}_2 & \cdots & \mathbf{u}_n \\ | & | & & | \end{bmatrix}$$

is a unitary  $n \times n$  matrix. Also, reversing the procedure produces a Lagrangian  $n$ -plane from a unitary matrix.

According to (vi), the freedom of choice of orthonormal basis for  $L$  is  $O(n)$ . Therefore, all the Lagrangian  $n$ -planes in  $\mathbb{R}^{2n}$  is  $U(n)/O(n)$ , the space defined in (v).

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<sup>1</sup>We cheat here by using the metric.