

**INTRODUCTION TO SYMPLECTIC GEOMETRY**  
**SOLUTION FOR THE MIDTERM**

THURSDAY, NOVEMBER 7, 2013

- (1) True/False questions, no justifications needed. (1.5points each item)
- (a) **F** Consider  $\mathbb{R}^6$  with the standard symplectic form  $\omega_0$ . Any 4-dimensional subspace  $U \subset \mathbb{R}^6$  is coisotropic, i.e.  $U^{\omega_0} \subset U$ .  
For instance, take  $U = \text{span}\{\frac{\partial}{\partial x_1}, \frac{\partial}{\partial y_1}, \frac{\partial}{\partial x_2}, \frac{\partial}{\partial y_2}\}$ . Then  $U^{\omega_0} = \text{span}\{\frac{\partial}{\partial x_3}, \frac{\partial}{\partial y_3}\} \not\subset U$ .
- (b) **T** Any manifold  $X^n$  can always be realized as a Lagrangian submanifold of some symplectic manifold  $(M^{2n}, \omega)$ .  
 $X$  is always Lagrangian as the zero section in  $(T^*X, \omega_{\text{can}})$ .
- (c) **F** There exists a symplectic matrix  $A \in \text{Sp}(n)$  with  $\det(A) = -1$ .  
Symplectic form induces an orientation. It follows that  $\text{Sp}(n) \subseteq \text{SL}(2n; \mathbb{R})$ .
- (d) **F** Let  $(M, \omega)$  be a compact symplectic manifold. Suppose that there is an  $\mathbf{S}^1$ -action on  $M$  which preserves the symplectic form. Then, there exists a moment map  $\mu : M \rightarrow \mathbb{R}$  of this  $\mathbf{S}^1$ -action.  
Consider  $\mathbf{T}^2 = \mathbb{R}^2/\mathbb{Z}^2$  with  $\omega = dx \wedge dy$ . Consider the  $\mathbf{S}^1$ -action as rotating the  $x$ -component. It is only symplectic, but not Hamiltonian.
- (e) **F** The Klein bottle admits a symplectic structure. The Klein bottle is constructed by identifying the boundary of  $[0, 1] \times [0, 1] \subset \mathbb{R}^2$  by the relations  $(0, y) \sim (1, y)$  and  $(x, 0) \sim (1 - x, 1)$ .  
The Klein bottle is not orientable.
- (f) **F**  $\mathbf{S}^3 \times \mathbb{C}\mathbb{P}^3$  admits a symplectic structure.  
Since  $\dim \mathbf{S}^3 \times \mathbb{C}\mathbb{P}^3 = 9$ , it cannot be symplectic.
- (g) **T**  $\mathbf{T}^2 \times \mathbf{S}^2$  admits a symplectic structure.  
The product of symplectic manifolds is still symplectic.
- (h) **F**  $\mathbf{S}^6$  admits a symplectic structure.  
Since  $\mathbf{S}^6$  is compact and  $H_{\text{dR}}^2(\mathbf{S}^6) = 0$ , it cannot be symplectic.
- (i) **T** Let  $(M, \omega)$  be a compact symplectic manifold, and  $f, g$  be two smooth functions on  $M$ . Suppose that they Poisson commute, i.e.  $\{f, g\} = 0$ . Then,  $\rho_t^*g = g$  where  $\rho_t = \exp(tX_f)$ .  
 $\frac{d}{dt}\rho_t^*g = \rho_t^*\mathcal{L}_{X_f}g = \rho_t^*\omega(X_g, X_f) = 0$ .

- (j) **[F]** There exists a smooth, strictly convex function  $F$  on  $\mathbb{R}^2$  such that the image of its Legendre transform is  $S_F = \{(r \cos \theta, r \sin \theta) \in \mathbb{R}^2 \mid r > 0 \text{ and } 0 < \theta < \frac{3\pi}{2}\}$ .

The region is not convex.

- (k) **[T]** Consider  $\mathbb{R}^4$  with the standard symplectic form  $\omega_0 = dx_1 \wedge dy_1 + dx_2 \wedge dy_2$ . The flow  $\rho_t$  generated by the vector field  $V = \sin(y_2) \frac{\partial}{\partial x_1} + y_1 \cos(y_2) \frac{\partial}{\partial x_2}$  preserves the symplectic form  $\omega_0$ .

$$\mathcal{L}_V \omega_0 = d(\iota_V \omega) + \iota_V (d\omega) = d(\sin(y_2) dy_1 + y_1 \cos(y_2) dy_2) = d^2(y_1 \sin(y_2)) = 0.$$

- (l) **[F]** Let  $(M^6, \omega)$  be a compact, 6-dimensional symplectic manifold. Suppose that  $\{\gamma_j : \mathbf{S}^3 \hookrightarrow M\}_{j \in \mathbb{N}}$  and  $\gamma : \mathbf{S}^3 \hookrightarrow M$  are Lagrangian embeddings of the three sphere into  $M$ . It is possible that  $\lim_{j \rightarrow \infty} \gamma_j = \gamma$  in the  $\mathcal{C}^1$ -topology, and  $\#\{\gamma_j(\mathbf{S}^3) \cap \gamma(\mathbf{S}^3)\} = 1$  for all  $j$ . (Here we simply count the total number of intersection points without considering the sign of intersection.)

Apply the Weinstein tubular neighborhood theorem on  $\gamma(\mathbf{S}^3)$ . Since  $\lim_{j \rightarrow \infty} \gamma_j = \gamma$  in the  $\mathcal{C}^1$ -topology,  $\gamma_j(\mathbf{S}^3)$  must be given by the graph of closed 1-forms when  $j$  sufficiently large. Since  $H_{\text{dR}}^1(\mathbf{S}^3) = 0$ , closed 1-forms are the exterior derivative of functions. A smooth function on  $\mathbf{S}^3$  has at least two critical points.

- (2) The question concerns about basic symplectic-geometric properties of cotangent bundles.

- (a) Let  $X$  be a manifold. Write down the local expression for the tautological 1-form and the canonical symplectic form of  $T^*X$ .

Let  $\{x_j\}_{j=1}^n$  be a local coordinate on  $X$ , and  $\{\xi_j\}_{j=1}^n$  be the corresponding coordinate for the fibers of  $T^*X$ . The tautological 1-form is  $\alpha = \sum_{j=1}^n \xi_j dx_j$ . The canonical symplectic form is  $\omega_{\text{can}} = -d\alpha = \sum_{j=1}^n dx_j \wedge d\xi_j$ .

- (b) Think  $\mathbf{S}^3$  as the unit sphere in  $\mathbb{R}^4$ .

- (i) Let  $f : \mathbb{R}^4 \rightarrow \mathbb{R}$  be a smooth function. Construct a Lagrangian submanifold  $L_f$  of  $(T^*\mathbf{S}^3, \omega_{\text{can}})$  using  $f$ .

Take  $L_f$  to be the graph of  $df$ .

- (ii) Let  $H = \{(\cos t, \sin t, 0, 0) \mid t \in \mathbb{R}/2\pi\mathbb{Z}\} \cup \{(0, 0, \cos t, \sin t) \mid t \in \mathbb{R}/2\pi\mathbb{Z}\}$  be the Hopf link in  $\mathbf{S}^3$ . Construct a Lagrangian submanifold  $L_H$  of  $(T^*\mathbf{S}^3, \omega_{\text{can}})$  such that  $L_H \cap \iota_0(\mathbf{S}^3) = H$  where  $\iota_0(\mathbf{S}^3)$  is the zero section in  $T^*\mathbf{S}^3$ .

Take  $L_H$  to be the conormal bundle of  $H$ . It follows from the construction of the conormal bundle that  $L_H \cap \iota_0(\mathbf{S}^3) = H$ .

(2 + 2 + 2 = 6points)



the Hopf link

- (3) Let  $\omega_0$  and  $\omega_1$  be two symplectic forms on  $M$ . Let  $i : N \hookrightarrow M$  be a compact submanifold. Suppose that  $\omega_0|_p = \omega_1|_p$  for any  $p \in N$ . Then, the *local Moser theorem* asserts that there exists neighborhoods  $\mathcal{U}_0$  and  $\mathcal{U}_1$  of  $N$  in  $M$ , and a diffeomorphism  $\varphi : \mathcal{U}_0 \rightarrow \mathcal{U}_1$  such that

- $\varphi^*\omega_1 = \omega_0$ ;
- $\varphi \circ i = i$ ; namely,  $\varphi$  is the identity map on  $N$ .

Now,

- (a) State the Darboux theorem.

Let  $(M, \omega)$  be a symplectic manifold. Then, for any  $p \in M$ , there exists a coordinate neighborhood  $p \in U \subset M$  with coordinates  $\{x_j, y_j\}_{j=1}^n$  such that  $\omega = \sum_{j=1}^n dx_j \wedge dy_j$ .

- (b) Prove the Darboux theorem. You are allowed to use the local Moser theorem. By symplectic linear algebra,  $\omega|_p = \sum_{j=1}^n e_j^* \wedge f_j^*$  for a basis  $\{e_j^*, f_j^*\}_{j=1}^n$  for  $T_p^*M$ . Consider the dual basis  $\{e_j, f_j\}_{j=1}^n$  for  $T_pM$ . Choose a coordinate neighborhood  $p \in U \subset M$  such that  $\frac{\partial}{\partial x_j}|_p = e_j$  and  $\frac{\partial}{\partial y_j}|_p = f_j$  for all  $j$ ; this can always be done by a linear transform. Endow  $U$  with the symplectic form  $\omega_0 = \sum_{j=1}^n dx_j \wedge dy_j$ . On the other hand,  $\omega|_U$  is another symplectic form on  $U$ . By the construction of  $\omega_0$ , these two symplectic form meets the requirement of the local Moser theorem, with the manifold to be  $U$  and the compact submanifold to be  $p$ . Therefore, there exists neighborhoods  $\mathcal{U}_0$  and  $\mathcal{U}_1$ , and a diffeomorphism  $\varphi : \mathcal{U}_0 \subset U \rightarrow \mathcal{U}_1 \subset U$  such that  $\varphi^*\omega = \omega_0$ . Then  $\varphi : \mathcal{U}_0 \rightarrow \mathcal{U}_1 \subset M$  is a Darboux chart.

(2 + 4 = 6points)

- (4) Suppose that  $\tau$  is a symplectomorphism of  $(M, \omega)$ . Its graph  $\{(p, \tau(p)) \mid p \in M\} \subset M \times M$  is a Lagrangian submanifold with respect to  $\text{pr}_1^*\omega - \text{pr}_2^*\omega$ . This relates a symplectomorphism to a Lagrangian submanifold.

Let  $X$  be a manifold. Given a smooth function  $f : X \times X \rightarrow \mathbb{R}$ , we can apply the method of generating functions to construction a symplectomorphism of  $(T^*X, \omega_{\text{can}})$  to itself.

- (a) Describe the method of generating functions.

The graph of  $df$ ,  $Y_f = \{(x, \partial_x f, y, \partial_y f) \in T^*X \times T^*X\}$ , is Lagrangian with respect to  $\text{pr}_1^*\omega_{\text{can}} + \text{pr}_2^*\omega_{\text{can}}$ . By flipping the second fiber,  $Y_f^\sigma = \{(x, \partial_x f, y, -\partial_y f) \in T^*X \times T^*X\}$  is Lagrangian with respect to  $\text{pr}_1^*\omega_{\text{can}} - \text{pr}_2^*\omega_{\text{can}}$ . We may construct a symplectomorphism by solving  $y = y(x, \xi)$  from  $\partial_x f(x, y) = \xi$ .

- (b) What is the necessary condition on  $f$  to guarantee that we can locally construct a symplectomorphism?

From the Jacobian computation, also for the implicit function theorem, the condition is that  $[\frac{\partial^2 f}{\partial x_j \partial y_k}]_{j,k}$  is non-degenerate.

(c) Consider the case when  $X = \mathbb{R}$  and

$$\begin{aligned} f : X \times X &\rightarrow \mathbb{R} \\ (x, y) &\mapsto (x^3 + 3x^2 + 4x)y + y^2 - 4 . \end{aligned}$$

Use the method of generating functions to construct the symplectomorphism *explicitly*.

$$\begin{aligned} \partial_x f &= (3x^2 + 6x + 4)y = (3(x+1)^2 + 1)y \Rightarrow y = (3x^2 + 6x + 4)^{-1}\xi . \\ -\partial_y f &= -(x^3 + 3x^2 + 4x) - 2y \Rightarrow \eta = -(x^3 + 3x^2 + 4x) - 2(3x^2 + 6x + 4)^{-1}\xi . \end{aligned}$$

(2 + 1 + 3 = 6points)

(5) Let  $\Sigma_g$  be a compact, oriented surface<sup>1</sup> of genus  $g$ . Let  $\omega$  be an area form on  $\Sigma_g$ . Suppose that  $\tau$  is an orientation-preserving self-diffeomorphism of  $\Sigma$ . It follows that  $\tau^*\omega$  is also an area form. Prove that  $\omega$  and  $\tau^*\omega$  are *strongly isotopic*. That is to say, there is an isotopy  $\rho_t : M \rightarrow M$  such that  $\rho_1^*(\tau^*\omega) = \omega$ .

Since  $\dim \Sigma = 2$ , a symplectic form (with the same orientation) is the same as an area form. Since convex combinations of area forms are still area forms,  $\omega_t = (1-t)\omega + t\tau^*\omega$  is a symplectic form for any  $t \in [0, 1]$ .

Since  $\int_\Sigma \omega = \int_\Sigma \tau^*\omega$ ,  $\omega$  and  $\tau^*\omega$  define the same class in  $H_{\mathbb{R}}^2(\Sigma)$ . Thus,  $\tau^*\omega - \omega = d\eta$  for some 1-form  $\eta$ .

Consider the one-parameter family of vector field  $v_t = -\omega_t^{-1}(\eta)$ . Let  $\rho_t$  be the one-parameter family of diffeomorphism generated by  $v_t$ . We compute

$$\begin{aligned} \frac{d}{dt}\rho_t^*\omega_t &= \rho_t^*\left(\mathcal{L}_{v_t}\omega_t + \frac{d\omega_t}{dt}\right) \\ &= \rho_t^*(d(\iota_{v_t}\omega_t) + \iota_{v_t}(d\omega_t) - \omega + \tau^*\omega) \\ &= \rho_t^*(-d\eta + d\eta) = 0 . \end{aligned}$$

It follows that  $\rho_1^*(\tau^*\omega) = \omega$ .

(7points)

(6) Regard  $\mathbf{S}^2$  as the unit sphere in  $\mathbb{R}^3$ . For any  $p \in \mathbf{S}^2$ ,  $T_p\mathbf{S}^2$  consists of all the vectors orthogonal to  $p$ . Define the symplectic form  $\omega$  by  $\omega_p(u, v) = \langle p, u \times v \rangle$  where  $\times$  is the usual cross product. The standard action of  $\text{SO}(3)$  on  $\mathbb{R}^3$  maps  $\mathbf{S}^2$  onto itself, and thus induces an action on  $\mathbf{S}^2$ . The purpose of this exercise is to compute the moment map of this  $\text{SO}(3)$ -action on  $(\mathbf{S}^2, \omega)$ .

The Lie algebra  $\mathfrak{so}(3)$  consists of skew-symmetric matrices. It can be identified with  $\mathbb{R}^3$  by

$$A = \begin{bmatrix} 0 & -a_3 & a_2 \\ a_3 & 0 & -a_1 \\ -a_2 & a_1 & 0 \end{bmatrix} \mapsto (a_1, a_2, a_3) . \quad (0.1)$$

<sup>1</sup>A surface is a manifold of real dimension 2.

- (a) The adjoint action for matrix groups are given by the usual matrix multiplication,  $\text{Ad}_g A = gAg^{-1}$ . What is the adjoint action in terms of (0.1)? The dual space  $\mathfrak{so}^*(3)$  can also be identified with  $\mathbb{R}^3$  via the usual inner product. What is the coadjoint action?

It follows from a straightforward (but tedious) computation that  $\text{Ad}_g A = g\vec{a}$  as the matrix multiplication. For the coadjoint action,

$$\begin{aligned}\langle \text{Ad}_g^* \vec{\xi}, \vec{a} \rangle &= \langle \vec{\xi}, \text{Ad}_{g^{-1}} \vec{a} \rangle \\ &= \langle \vec{\xi}, g^{-1} \vec{a} \rangle \\ &= \langle g\vec{\xi}, g(g^{-1} \vec{a}) \rangle = \langle g\vec{\xi}, \vec{a} \rangle\end{aligned}$$

for any  $\vec{a} \in \mathbb{R}^3$ . Hence,  $\text{Ad}_g^* \vec{\xi} = g\vec{\xi}$ .

- (b) Each component of the cross product is a 2-form on  $\mathbb{R}^3$ . What are they?  
Let  $x, y, z$  be the coordinate for  $\mathbb{R}^3$ . The cross product is  $(dy \wedge dz, dz \wedge dx, dx \wedge dy)$ .

- (c) With Item (b), construct a 2-form  $\tilde{\omega}$  on  $\mathbb{R}^3$  whose restriction on  $\mathbf{S}^2$  is the symplectic form. The 2-form  $\tilde{\omega}$  needs not to be d-closed on  $\mathbb{R}^3$ .

From  $\omega_p(u, v) = \langle p, u \times v \rangle$ , we can take  $\tilde{\omega}$  to be  $xdy \wedge dz + ydz \wedge dx + zdx \wedge dy$ .

- (d) Compute  $\iota_{A^\#} \tilde{\omega}$  on  $\mathbb{R}^3$  for any  $A \in \mathfrak{so}(3)$ .

Let  $g = e^{tA}$ . It follows from a straightforward computation that

$$\begin{aligned}\frac{d}{dt} \Big|_{t=0} e^{tA}(x, y, z) &= (a_2z - a_3y, a_3x - a_1z, a_1y - a_2x) \\ &= (a_1, a_2, a_3) \times (x, y, z) .\end{aligned}$$

Hence,

$$\begin{aligned}\iota_{A^\#} \tilde{\omega} &= (a_1y^2 - a_2xy - a_3xz + a_1z^2)dx \\ &\quad + (-a_1xy + a_2x^2 + a_2z^2 - a_3yz)dy \\ &\quad + (a_3x^2 - a_1xz - a_2yz + a_3y^2)dz .\end{aligned}$$

- (e) Restrict  $\iota_{A^\#} \tilde{\omega}$  on  $\mathbf{S}^2 = \{(x, y, z) \in \mathbb{R}^3 \mid x^2 + y^2 + z^2 = 1\}$ , and use it to find out the moment map.

We first use  $x^2 + y^2 + z^2 = 1$  to rewrite  $\iota_{A^\#} \tilde{\omega}$  as

$$\iota_{A^\#} \tilde{\omega} = (a_1dx + a_2dy + a_3dz) - (a_1x + a_2y + a_3z)(xdx + ydy + zdz) .$$

Since  $d(x^2 + y^2 + z^2) = 0$ , the second term vanishes on  $\mathbf{S}^2$ , and

$$\iota_{A^\#} \tilde{\omega} = (a_1dx + a_2dy + a_3dz) = d\langle (x, y, z), (a_1, a_2, a_3) \rangle .$$

It follows that  $\mu(x, y, z) = (x, y, z) + (c_1, c_2, c_3)$  via the identification of  $\mathfrak{so}^*(3)$  with  $\mathbb{R}^3$ . The  $\text{SO}(3)$ -equivariant condition implies that  $(c_1, c_2, c_3)$  must be the zero vector. Therefore,  $\mu : \mathbf{S}^2 \rightarrow \mathbb{R}^3$  is the original embedding.

(1 + 1 + 1 + 1 + 3 = 7points)