

族群理論專題討論
(Seminar on Theories of Ethnicity)

1993年9月29日至
1994年1月12日

授課教師：謝世忠副教授

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學期：八十二學年度第一學期

學分：3

時間：星期三下午 3:10 至 6:00

教師辦公室：人類系館 311

教師辦公時間(Office hour)：星期四下午 1:00 至 5:00 或電話預約

課程目的 (Purpose of the Course)

本課程主要為探究人類社會中，各種關及族群認同(ethnic identity)的因子或要素，這些因子或要素在現代國家或政體的脈絡中格外突顯。我們將系統討論人類學對於現代國家或政體中之族群意識 (ethnicity)的動力過程研究，其中有許多主題會涉及到強化族群衝突 (ethnic conflict)與族群國族運動 (ethnic nationalism)的要素。我們所將接觸的民族誌實例涵蓋了中國地區以外的全世界各典型或具代表性的區域。

課程要求 (Course Requirement)

1. 每星期討論一個專題，每一個專題必須研讀三篇文章。
2. 每次上課由兩位同學負責主持討論，主持討論者應先以 30 分鐘時間摘述三篇文章大要(30 分鐘時間一到，教師將打斷摘述)，隨後討論其它同學所提的問題。
3. 未輪到主持討論的同學，每次上課必須提交兩個研讀文獻之後的問題，(思考性的問題，而不是考問主持者文章內容)，並影印發給教師和每一位同學。
4. 本課必須完成三篇書面報告。第一篇報告教師將於 11 月 3 日發題目 2 至

10 題，同學擇一撰寫，並於 11 月 24 日下午 3:10 交齊。第二篇報告於 12 月 1 日發題，12 月 22 日下午 3:10 交齊。第三篇報告請同學自擬題目，於 1 月 14 日(五)下午 6:00 以前交齊。報告應採社會科學標準格式，橫書右行；並務請準時交稿。

評分辦法：

1. 主持討論	20%
2. 兩個問題的提出	20%
3. 三篇書面報告	$20\% \times 3 = 60\%$
	<hr/>
	100%

其它注意事項：

1. 請務必準時出席，有習慣遲到、早退、任意請假者，請不要選本課。
2. 常常給自己一大堆理由不去讀文章者，請勿選課。
3. 旁聽同學不必主持討論，其餘規定(如兩個問題，三篇報告)必須履行。
4. 旁聽同學無故缺席一次，下次不用再來了。
5. 研讀的材料，請同學登記，並送至學校附近影印店影印購買。經濟上有困難者，請直接和教師連繫。

* 祝 大家上課辯論愉快！

第一週 1993 年 9 月 29 日(三) 課程介紹

第二週 10 月 6 日 族群與族群意識(性) (Ethnic Group and Ethnicity)

De Vos, George

1982[1975] “Ethnic Pluralism Conflict and Accomodation.” In
Ethnic Identity: Cultural Continuities and Change.
George De Vos and Lola Romanucci –Ross, eds. Pp.
5-41. Chicago: University of Chicago Press.

Keyes, Charles F.

1976 “Towards a New Formulation of the Concept of Ethnic
Group.” Ethnicity 3: 202-213.

Mckay, James F.

1977 “Ethnicity and the Ethnic Group: a Conceptual Analysis and Reformulation.” Ethnic and Racial Studies 1(4): 412-427.

第三週 10月13日 族群認同的學術難題 (The Problematics of Ethnic Identity)

Kandre, Peter K.

1976 “Yao (Iu Mien) Supernaturalism, Language, and Ethnicity.” In Changing Identities in Modern Southeast Asia, David J. Banks, ed. pp. 171-197. Paris & The Hague: Mouton Publishers.

Moerman, Michael

1965 “Ethnic Identity in a Complex Civilization: Who are the Lue?” American Anthropologist 67:1215-1230.

Southall, Aiden W.

1976 “Nuer and Dinka are People: Ecology, Ethnicity, and Logical Possibility.” Man 11:463-491.

第四週 10月20日 認同的根本賦與基礎 (Problematics of Ethnic Identity)

Geertz, Clifford

1973[1963] “The Integrative Revolution: Primordial Sentiments and Civil Politics in the New States.” In The Interpretation of Cultures, Clifford Geertz, pp. 255-310. New York: Basic Book.

Shils, Edward

1957 “Primordial, Personal, Sacred and Civil Ties.” British Journal of Sociology 8(2): 130-145.

Van den Berghe, Pierre L.

1977 “Race and Ethnicity: A Sociobiological Perspective.” Ethnic and Racial Studies 1: 401-411.

第五週 10月27日 族群意識的文化建構 (The Cultural Construal of Ethnicity)

De Vos, George & Lola Romanucci-Ross

1982[1975] “Ethnicity: Vessel of Meaning and Emblem of Contrast.” In Ethnic Identity: Cultural Continuities and Change, George De Vos & Lola Romanucci-Ross, eds. Pp. 363-390. Chicago: University of Chicago Press.

Nagata, Judith A.

1981 “In Defense of Ethnic Boundaries: The Changing Myths and Charters of Malay Identity.” In Ethnic Change, Charles F. Keyes, ed. pp. 87-116. Seattle: University of Washington Press.

Wagatsuma, Hiroshi

1982[1975] “Problems of Cultural Identity in Modern Japan.” In Ethnic Identity: Cultural Continuities and Change, George De Vos & Lola Romanucci-Ross, eds. Pp. 307-334. Chicago: University of Chicago Press.

第六週 11月3日 族群行為的情境基礎 (The Contextual or Situational Basis for Ethnic Action)

Barth, Fredrik

1969 “Pathan Identity and Its Maintenance.” In Ethnic Group and Boundaries, Fredrik Barth, ed. pp. 117-134. Boston: Little, Brown and Company.

Berreman, Gerald D.

1982[1975] “Bazar Behavior: Social Identity and Social Interaction in Urban India.” In Ethnic Identity: Cultural Continuities and Change. George De Vos & Lola Romanucci-Ross, eds. Pp. 71-105. Chicago: University of Chicago Press.

Nagata, Judith A.

1974 “What is a Malay? Situational Selection of Ethnic Identity in a Plural Society.” American Ethnologist 1(2): 331-350.

第七週 11月10日 多元相斥社會與族群多元主義 (Plural Society and Ethnic Pluralism)

Abu-Laban, Baha & Donald Mottershead

- 1981 “Cultural Pluralism and Varieties of Ethnic Politics.”
Canadian Ethnic Studies 13 (3) : 44-63.

Furnivall, J. S.

- 1967[1939] “Plural Societies.” In Netherlands India. J. S. Furnivall, pp. 446-469. Cambridge: Cambridge University Press. (Reprinted in abridged form in Sociology of South-East Asia, Hans Dieter Evers, ed. 1980, pp. 85-96. Kuala Lumpur: Oxford University Press.)

Wertheim, W. F.

- 1964 “The Trading Minorities in South-East Asia.” In East-West Parallels. W. F. Wertheim, pp. 39-82. The Hague: Van Hoeve. (Reprinted in abridged form in Sociology of South-East Asia, Hans Dieter Evers, 1980, pp. 104-120. Kuala Lumpur: Oxford University Press.)

第八週 當代「國族---國家」之中的族群 (Ethnic Groups in Nation--States)

Cohen, Abner

- 1981 “Variables in Ethnicity.” In Ethnic Change, Charles F. Keyes, ed. pp. 306-331. Seattle: University of Washington Press.

Keyes, Charles F.

- 1973 “Ethnic Identity and Loyalty of Villagers in Northeastern Thailand.” In Southeast Asia: The Politics of National Integration, John T. McAlister, Jr. ed. pp. 355-365. N. Y.: Random House.

Tessler, Mark A.

- 1981 “Ethnic Change and Nonassimilation Minority Status: Jews

in Tunisia and Morocco and Arabs in Israel.” In Ethnic Change, Charles F. Keyes, ed. pp. 155-197. Seattle: University of Washington Press.

第九週 11月24日 族群意識與國族文化 (Ethnicity and National Culture)

kammerer, Cornelia Ann

1988 “Territorial Imperatives: Akha Ethnic Identity and Thailand’s National Integration.” In Ethnicities and Nations: Processes of Interethnic Relations in Latin America, Southeast Asia, and the Pacific, pp. 259-292. Houston: University of Texas Press.

Keyes, Charles F.

1970 “Buddhism and National Integration in Thailand.” Journal of Asian Studies 30: 551-568.

Obeyesekere, Gananath

1974 “Sinhalese-Buddhist Identity in Ceylon.” In Ethnic Identity: Cultural Continuities and Change, George De Vos & Lola Romanucci-Ross, eds. Pp. 231-258. Chicago: University of Chicago Press.

第十週 族群運動 (Ethnic Movement)

Heiberg, Marianne

1978 “External and Internal Nationalism: The Case of the Spanish Basques.” In Ethno Autonomy---Comparative Dynamics: The Americas, Europe and the Developing World, Raymond L. Hall, ed. pp. 180-200. N. Y.: Pergamon Press.

Smith, Anthony D.

1979 “Toward a Theory of Ethnic Separatism.” Ethnic and Racial Studies 2 (1) : 21-37.

Trosper, Ronald L.

1980 “American Indian Nationalism and Frontier Expansion.” In Ethnic Change, Charles F. Keyes, ed. pp. 246-270. Seattle:

University of Washington Press.

第十一週 12月8日 污名化的認同與族群階級 (Stigmatized Identity and Ethclass)

Dohratz, Betty A.

1988 “Socioeconomic Mobility and Ethclass in Primary Group Relations.” Ethnic Groups 7: 227-257.

Eidheim, Harald

1969 “When Ethnic Identity is a Social Stigma.” In Ethnic Groups and Boundaries, Frederik Barth, ed. pp. 39-57. Boston: Little, Brown and Company.

Siverts, Henning

1969 “Ethnic Stability and Boundary Dynamics in Southern Mexico.” In Ethnic Groups and Boundaries, pp. 101-116. Boston: Little, Brown and Company.

第十二週 12月15日 族群意識(性)、層化、與資源競爭 (Ethnicity, Stratification, and Resource Competition)

Despres, Leo A.

1975 “Ethnicity and Resource Competition in Guyanese Society.” In Ethnicity and Resource Competition in Plural Societies, Leo A. Despres, pp. 87-117. The Hague: Mouton.

Light, Ivan

1981 “Ethnic Succession.” In Ethnic Change, Charles F. Keyes, ed. pp. 53-86. Seattle: University of Washington Press.

Schwartz, B. Norman

1970 “Assimilation and Acculturation: Aspects of Ethnicity in a Guatemalan Town.” Ethnology 10: 291-310.

第十三週 12月22日 個人與集體經驗的整合 (Integrate Individual and Collective Experience)

Green, Vera

- 1981 “Blacks in the United States: The Creation of an Enduring People.” In Persistent Peoples: Cultural Enclaves in Perspectives, George Pierre Castile & Gilbert Kushner, eds. Pp. 69-77. Tucson: University of Arizona Press.

Romanucci-Ross, L.

- 1982 “Italian Identity and its Transformations.” In Ethnic Identity: Cultural Continuities and Change, George De Vos & Lola Romanucci-Ross, eds. Pp. 198-226. Chicago: University of Chicago Press.

Shukry el-Hamamsy, L.

- 1982[1975] “The Assertion of Egyption Identity.” In Ethnic Identity: Cultural Continuities and Change, George De Vos & Lola Romanucci-Ross, eds. Pp. 276-306. Chicago: University of Chicago Press.

第十四週 12月29日 同化與多元主義在美國 (Assimilation & Pluralism in American Society)

Portes, Alejandro & Ruben G. Rumbaut

- 1989 Immigrant America. Pp. 94-192. (Chapter 4, “From Immigrants to Ethnics: Identity, Citizenship, and Political Participation.”) Berkeley: University of California Press.

Ringer, Benjamin B. & Eliner R. Lawless.

- 1990 Race-Ethnicity and Society. Pp. 119-150. (Chapter 6, “Minority-Majority Relations Over Time.”) New York, N. Y.: Routledge.

Trottier, Richard W.

- 1981 “Chapters of Panethnic Identity: Indigenous American Indians and Immigrant Asian Americans.” In Ethnic Change, Charles F. Keyes, ed. pp. 271-305. Seattle:

第十五週 1月5日 University of Washington Press.
族群意識與族群變遷的辯證性 (Ethnicity and the
Dialectics of Ethnic Change)

Banton, Michael

1981 “The Direction and Speed of Ethnic Change.” In
Ethnic Change, Charles F. Keyes, ed. pp. 31-52.
Seattle: University of Washington Press.

Keyes, Charles F.

1981 “The Dialectics of Ethnic Change.” In Ethnic Change,
Charles F. Keyes, ed. pp. 8-30. Seattle: University of
Washington Press.

Spicer, Edward

1971 “Persistent Cultural System: A Comparative Study of
Identity Systems that can Adapt to Contrasting
Environments.” Science 174: 795-800.

第十六週 1月12日 再論族群、族群意識、與國族 (Reconsider Ethnic
Group, Ethnicity, and Nation)

Eller, Jack David & Reed M. Coughlan

1993 “The Poverty of Primordialism: The Demystification of
Ethnic Attachments.” Ethnic and Racial Studies 16(2):
183-202.

Keyes, Charles F.

1991 “A Southeast Asianist Perspective on Ethnicity Theory.”
Paper presented for the Conference on “Southeast Asian
Studies and the Social and Human Sciences”, held at the
Wingspread Conference Center, July 19-22, 1990.

Keyes, Charles F.

1992 “Who are the Lue?” Revisited: Ethnic Identity within
the Nations of Laos, Thailand, and China.”
(unpublished paper)